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## Electrical properties and Raman Scattering Study of Single Crystal Cs<sub>2</sub>Nb<sub>4</sub>O<sub>11</sub>

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# Electrical properties and Raman Scattering Study of Single Crystal $\text{Cs}_2\text{Nb}_4\text{O}_{11}$

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## Abstract

We studied temperature dependence of complex capacitance, impedance, and polarized Raman spectra of single crystal  $\text{Cs}_2\text{Nb}_4\text{O}_{11}$ . First, we observed a sharp  $\lambda$ -shaped peak at 165 °C in the complex capacitance, then found drastic changes in the Raman spectra in the same temperature range. Utilizing the pseudosymmetry search of structure space group, we attributed the observed anomalies to a structural change from the room temperature orthorhombic  $Pnn2$  to another orthorhombic  $Imm2$ . We also measured room temperature polarized Raman spectra in different symmetries of normal vibrations and assigned high wavenumber Raman bands to the internal vibrations of  $\text{NbO}_6$  octahedra and  $\text{NbO}_4$  tetrahedra.

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## I. INTRODUCTION

Alkali niobates, such as  $\text{LiNbO}_3$ ,  $\text{NaNbO}_3$ , and  $\text{KNbO}_3$  have received much attention due to their interesting ferroelectric or antiferroelectric phase transitions and their technical applications. For example,  $\text{LiNbO}_3$  has a ferroelectric phase transition at 1200 °C. At room temperature it possesses an attractive combination of piezoelectric and electro-optic properties, which enable it to be widely used in nonlinear optics, optoelectronics and acoustics. Several phase transitions take place in  $\text{KNbO}_3$  including two ferroelectric-ferroelectric and one ferroelectric-paraelectric transitions. Its room temperature ferroelectric phase has the largest nonlinear optical coefficient among all commercially available inorganic materials, so it is normally used for frequency doubling of low and medium power lasers. Recently, another alkali niobate  $\text{Cs}_2\text{Nb}_4\text{O}_{11}$  (CNO) was found to have a ferroelectric-like phase transition at 164 °C. Kharitonova *et al.* grew the single crystal of CNO and measured its dielectric constant and second harmonic generation (SHG) at high temperature up to 600 °C.<sup>1</sup> They found that the dielectric constant of the single crystal showed no anomalies along the crystal  $a$  and  $b$  axes, but exhibited a sharp  $\lambda$ -shaped peak along  $c$  axis with a maximal value of about 4800 at 164 °C, above which the dielectric constant follows the Curie-Weiss law. They also observed an anomaly in SHG at the same temperature that SHG slightly decreased and persisted above 164 °C up to 380 °C. In addition, they found temperature hysteresis of the anomalies was lacking or very small, so they deduced the transition associated with the anomalies was of the second order. For the purpose of studying this newly discovered ferroelectric material and exploring its potential technical application, we carry out a thorough study of its electrical properties, vibrational spectra, and the mechanism of the phase transition.

CNO was first obtained by Reisman and Mineo in the  $\text{Cs}_2\text{O-Nb}_2\text{O}_5$  system.<sup>2</sup> At room temperature CNO was reported to have an orthorhombic structure<sup>3</sup> with space group  $Pnn2$  (The conventional space group setting  $Pnn2$  is used in this article instead of  $P2nn$  as in Ref.3). There are eight chemical formula units in a unit cell. The unit cell parameters are  $a = 7.464$  Å,  $b = 28.898$  Å, and  $c = 10.484$  Å. Fig.1 illustrates its crystal structure that contains  $\text{NbO}_6$  octahedra and  $\text{NbO}_4$  tetrahedra being linked together to form a three-dimensional framework with Cs atoms located inside interlinked channels.

Impedance and Raman spectroscopy are powerful experimental techniques used to study

electrical properties and structural phase transitions in solids. We have studied the phase transition, dielectric relaxation, and conduction process in  $\text{Bi}_2\text{Ti}_4\text{O}_{11}$ ,<sup>4</sup> the origin of large dielectric constant in  $\text{Bi}_{2/3}\text{Cu}_3\text{Ti}_4\text{O}_{12}$ ,<sup>5</sup> and the phase transition in  $\text{Na}_{0.5}\text{Bi}_{4.5}\text{Ti}_4\text{O}_{15}$ <sup>6</sup> by using these techniques. In this article, we first investigate the temperature and frequency dependence of the complex impedance of CNO at frequency range  $10^{-1}$  -  $10^6$  Hz and temperature range 30-300 °C, then measure the room temperature and high temperature polarized Raman spectra, from which we observe anomalies at about 165 °C and identify them as an indication of a phase transition. Using the pseudosymmetry analysis, we infer this phase transition as a structural change from the room temperature orthorhombic  $Pnn2$  to another orthorhombic  $Imm2$ .

In Sec. II, we describe experimental methods, such as crystal growth, sample preparation, and impedance and Raman measurement. Then, in Sec. III, we present the experimental results and discussions. First, we report the temperature and frequency dependence of the complex capacitance and impedance. Second, we present the polarized Raman spectra at room and high temperatures. Third, we elucidate the mechanism of the structural phase transition based on the pseudosymmetry analysis. Finally, we present the conclusion in Sec. IV.

## II. EXPERIMENT

A CNO single crystal was grown by flux method from melt of binary  $\text{Cs}_2\text{O-Nb}_2\text{O}_5$  system with spontaneous nucleation.  $\text{CsNO}_3$  and  $\text{Nb}_2\text{O}_5$  were used as starting materials. The best result was obtained with use of the melt containing 47 mol%  $\text{Cs}_2\text{O}$  ( $\text{CsNO}_3$ ) and 53 mol%  $\text{Nb}_2\text{O}_5$ . The mixture of the above components was first heated to 600 °C, then was mixed again, placed in a 50 ml platinum crucible and heated at 1150 °C for 12 h and cooled at the rates of 0.3 °C/h to 1090 °C. After that, the melt was poured out and crystals were cooled to room temperature and washed in warm water to remove traces of solvent. The chosen crystal was cut to a size of  $2.08 \times 4.82 \times 2.12 \text{ mm}^3$  perpendicular to the crystal  $a$ ,  $b$ , and  $c$  axes with the  $c$  axis parallel to the 2-fold axis and the  $a$  and  $b$  axes parallel to each of the two glide planes of the crystal. After optically polishing the crystal became colorless and transparent.

Complex impedance  $Z^*$  was measured by using a Novocontrol alpha high resolution di-

electric analyzer in the temperature range 30-300 °C and the frequency range  $10^{-1}$ - $10^6$  Hz. Silver films were vaporized on both faces normal to the  $c$  axis as electrodes. The ac field was applied along the  $c$  axis and the voltage was 1 V.

$Z^*$  was obtained in the usual way, i.e.,  $Z^* = Z' - iZ'' = V^*/I^*$ , where  $V^*$  and  $I^*$  are the applied voltage and resulting current, respectively. Then complex capacitance  $C^*$  was calculated as following,

$$C^* = C' - iC'' = \frac{1}{i\omega Z^*}, \quad (1)$$

In Raman measurement, incident light was provided by 514.5nm radiation of a Spectra-Physics argon ion laser operating with an output 200mW. Scattered light was recorded by a SPEX 1401 double monochromator with scattering configurations  $y(zz)x$ ,  $y(xy)x$ ,  $z(xz)y$  and  $z(yz)x$  which correspond to  $A_1(TO)$ ,  $A_2$ ,  $B_1(TO)$ , and  $B_2(TO)$  modes, where the first and fourth letters in the scattering configurations represent directions of the incident and scattered light, respectively, while the second and third letters are their polarized direction; besides,  $A_1$ ,  $A_2$ ,  $B_1$ , and  $B_2$  are symmetry species or irreducible representations of point group  $C_{2v}(mm2)$ , and  $TO$  represents a transverse optical mode.

### III. RESULTS AND DISCUSSION

#### A. Impedance Study

In Fig.2 we present temperature dependence of the complex capacitance  $C^*$  along the crystal  $c$  axis at three different frequencies (113,  $1.26 \times 10^4$  and  $1 \times 10^6$  Hz). We observed a strong  $\lambda$ -shaped peak at 165 °C in real part  $C'$  as shown in Fig. 2(a) whose shape and maximal value are independent of frequencies. Imaginary part  $C''$  in Fig. 2(b) also shows an anomaly at the same temperature. In addition,  $C''$  increases rapidly above 165 °C at frequency 113 Hz due to the increase of conductivity. The anomalies in  $C'$  and  $C''$  indicate the crystal undergoes a phase transition at 165 °C. Above the phase transition  $C'$  follows the Curie-Weiss law  $1/C' = (1/C_0)(T - T_0)$  as shown by the insert in Fig.2(a), where  $C_0$  is the Curie-Weiss constant, and  $T_0$  the Curie-Weiss temperature.

Figs. 3 depicts frequency dependence of imaginary parts ( $Z''$ ) of the complex impedance  $Z^* = Z' - iZ''$  along the crystal  $c$  axis at different temperatures. At temperatures below 90 °C, only the tail of a strong response peak shows up in the measured frequency range. The

maximum of the peak appears above 100 °C. In Fig. 3(a) we observe the peak position of  $Z''$  changes back and forth; namely, it first shifts to higher frequency until 145 °C, then moves backward until  $T_c$ ; from which it shifts to higher frequency again up to the highest temperature of the experiment. During the entire process, the intensity of the peak continuously decreases. Moreover, we notice that in Fig. 3(b) low frequency end of the peak starts to rise at 240 °C and above, indicating that another response peak begins to emerge.

For a single crystal, its electrical response often contains contributions from both bulk and electrode effects. Since the electrode effect is characterized by a larger relaxation time than the bulk response, two separate peaks are usually observed in the  $Z'' \sim f$  plots.<sup>7</sup> As we can see below that the peaks shown in Fig.3 (a) and (b) result from the bulk response whose associated capacitance shows a sharp peak at  $T_c$ , while the emerging peak at the low frequency side above 240 °C (indicated by the short arrow in Fig.3 (b)) is related to the electrode effect.

To analyze the impedance spectra, data usually are modeled by an ideal equivalent circuit consisting of a resistor  $R$  and capacitor  $C$ .<sup>8</sup> When two electrical response mechanisms are involved, electrical properties of the system are represented by the equivalent circuit shown in Fig. 4, which consists of a series array of two sub-circuits, one represents bulk response and the other for the electrode effect. Each sub-circuit is composed of a resistor and capacitor joined in parallel. Let  $(R_b, R_e)$  and  $(C_b, C_e)$  be the resistances and capacitances of bulk and electrode effects, respectively, then the impedance  $Z^*$  for the equivalent circuit is,

$$Z^* = \frac{R_b}{1 + i\omega R_b C_b} + \frac{R_e}{1 + i\omega R_e C_e}, \quad (2)$$

As shown in Fig.3, the electrode effect has rather minor influence on the response peak of the bulk effect. Since we are only interested in the bulk effect, so we neglect the electrode effect and fit our observed data by using the following equation,

$$Z^* = \frac{R_b}{1 + i\omega R_b C_b} = \frac{R_b}{1 + (\omega\tau_b)^2} - i \frac{R_b\omega\tau_b}{1 + (\omega\tau_b)^2}, \quad (3)$$

where  $\tau_b = R_b C_b$  is the relaxation time of the bulk effect. The fitted results at different temperature are presented in Fig. 5 as solid curves. We noticed that at temperatures below 200 °C, the bulk response can be described by an ideal Debye relaxation; when the temperature increases to 250°C, the observed values start to deviate from the fitted curve on the low frequency side due to the emergence of the electrode contribution.

In addition, from fitting the data we obtain the bulk resistance  $R_b$ , capacitance  $C_b$  and calculated relaxation time  $\tau_b = R_b C_b$  at different temperatures, which are plotted in Fig.6. We can see that the bulk resistance  $R_b$  decreases with increasing temperature over the entire measured temperature range (Fig.6(a)), while the bulk capacitance  $C_b$  exhibits a  $\lambda$ -shaped peak (Fig.6(b)) exactly like the behavior obtained from the direct measurement shown in Fig.2(a). Regarding the relaxation time  $\tau_b = R_b C_b$ , it slowly decreases with increasing temperature from 30 to 145 °C, then increases and shows a bump at  $T_c$  (Fig.6(c)). From Eq.(3) we know that  $\tau_b$  determines the response peak frequency  $f_{max} = 1/(2\pi\tau_b)$ , namely, the peak shifts to higher frequency as  $\tau_b$  decreases, it moves back when  $\tau_b$  increases; thus the temperature dependence of  $\tau_b$  shown in Fig.6(c) explains the shifting behavior of response peak shown in Fig.3(a).

## B. Raman Scattering Study

### 1. Group theory analysis of lattice vibrations

At room temperature the space group of CNO is orthorhombic  $Pnn2$ .<sup>3</sup> Since there are eight chemical formula units in a unit cell, a total of 408 normal modes of vibrations are expected for the CNO crystal. Based on the nuclear site group analysis,<sup>9</sup> irreducible representations of the 408 lattice vibrations in the center of Brillouin zone may be classified as following:

$$\Gamma_{Pnn2} = 101A_1 + 101A_2 + 103B_1 + 103B_2, \quad (4)$$

where  $A_1 + B_1 + B_2$  are three acoustic modes,  $100A_1 + 101A_2 + 102B_1 + 102B_2$  are optical modes, all of which are Raman active, and  $A_1$ ,  $B_1$  and  $B_2$  are also infrared active. The Raman scattering tensors are:<sup>10</sup>

$$A_1(z) \sim \begin{pmatrix} a & 0 & 0 \\ 0 & b & 0 \\ 0 & 0 & c \end{pmatrix} A_2 \sim \begin{pmatrix} 0 & d & 0 \\ d & 0 & 0 \\ 0 & 0 & 0 \end{pmatrix} B_1(x) \sim \begin{pmatrix} 0 & 0 & e \\ 0 & 0 & 0 \\ e & 0 & 0 \end{pmatrix} B_2(y) \sim \begin{pmatrix} 0 & 0 & 0 \\ 0 & 0 & f \\ 0 & f & 0 \end{pmatrix} \quad (5)$$

where  $a$ ,  $b$ ,  $c$ ,  $d$ ,  $e$ , and  $f$  in the matrices represent the non-vanishing components of the Raman tensors, and an  $x$ ,  $y$ ,  $z$  in brackets indicates the polarization direction of infrared active mode.



## 2. Polarized Raman spectra at room temperature and mode assignments

Room temperature polarized Raman spectra of CNO are shown in Fig.7, which are similar to those of niobates that contain  $\text{NbO}_6$  octahedron ions such as  $\text{LiNbO}_3$ ,<sup>11</sup> and  $\text{BiNbO}_4$ .<sup>12</sup> The number of observed Raman modes is much smaller than predicted by group theory. The wavenumbers of all observed Raman bands are presented in Table I.

As shown in Fig.1, the crystal structure of CNO consists of deformed  $\text{NbO}_6$  octahedra and  $\text{NbO}_4$  tetrahedra interlinked by corner-sharing or by edge-sharing. These octahedra and tetrahedra build up a three-dimensional network with channels in which the Cs atoms are located. It is well-known that in metal oxides with octahedral or tetrahedral coordination, the intragroup binding energy within the octahedron or tetrahedron is larger than the intergroup or crystal binding energy. Therefore, to the first-order approximation, we can think that the vibrational spectra of CNO are primarily composed of internal vibrations of  $\text{NbO}_6$  octahedra and  $\text{NbO}_4$  tetrahedra, together external vibrations of the lattices which occur at lower frequencies and arise from cation-octahedra/tetrahedra and intergroup librations.

Isolated  $\text{NbO}_6$  octahedron has the symmetry of point group  $O_h$  and 15 internal vibrational degrees of freedom. From group theory analysis its 6 normal vibrational modes can be represented as

$$\Gamma_{oct} = A_{1g}(\nu_1) + E_g(\nu_2) + 2T_{1u}(\nu_3, \nu_4) + T_{2g}(\nu_5) + T_{2u}(\nu_6), \quad (6)$$

where  $A_{1g}$ ,  $E_g$ ,  $T_{1u}$ ,  $T_{2g}$ , and  $T_{2u}$  are the irreducible representations of the point group  $O_h$ ,  $\nu_1$ ,  $\nu_2$ , and  $\nu_5$  are Raman active,  $\nu_3$ ,  $\nu_4$  infrared active, and  $\nu_6$  silent. Among them  $\nu_1$ ,  $\nu_2$  are pure stretching vibrations of Nb-O bonds,  $\nu_5$  and  $\nu_6$  are interbond angle bending vibrations between Nb-O bonds, and  $\nu_3$  and  $\nu_4$  are considered as combinations of stretching and bending. Since the  $\text{NbO}_6$  octahedra in CNO are highly distorted, we anticipate all its normal modes become Raman active and show up in the Raman spectra.

Based on the Raman peak assignments of  $\text{LiNbO}_3$ ,<sup>13</sup> and  $\text{BiNbO}_4$ ,<sup>12</sup> we can identify the internal vibrations of  $\text{NbO}_6$  octahedron in the observed Raman spectra of CNO shown in Fig 7: the strong band at about  $600 \text{ cm}^{-1}$  is the symmetric stretching mode  $\nu_1$  of  $\text{NbO}_6$  octahedron, which splits into two peaks in  $A_1(TO)$  and  $B_1(TO)$ ; left shoulder of the  $\nu_1$  mode at around  $541 \text{ cm}^{-1}$  originates from  $\nu_2$ , whereas the peak at  $675 \text{ cm}^{-1}$  in  $B_2(TO)$  and right shoulder of the  $\nu_1$  in  $B_1(TO)$  belong to the  $\nu_3$  mode, which appears at  $680 \text{ cm}^{-1}$  in  $\text{LiNbO}_3$

crystal;<sup>13</sup> since mode  $\nu_4$  usually occurs in the range 300-400  $cm^{-1}$ , so the peaks in this region should originate from the  $\nu_4$  mode; the peak at about 268  $cm^{-1}$  is assigned to the  $\nu_5$  mode, it is stronger in the  $B_1(TO)$  than in other symmetries; finally, the Raman band at 199  $cm^{-1}$  is due to the  $\nu_6$  mode, which occurs at the same position in  $BiNbO_4$ .<sup>12</sup>

Isolated  $NbO_4$  tetrahedra has the symmetry of point group  $T_d$ . Its 4 normal vibrations are

$$\Gamma_{tetra} = A_{1g}(\nu'_1) + E(\nu'_2) + 2T_2(\nu'_3, \nu'_4), \quad (7)$$

where  $A_{1g}$ ,  $E$ , and  $T_2$  are the irreducible representations of point group  $T_d$ , all of them are Raman active, while  $T_2(\nu'_3, \nu'_4)$  is also infrared active. Thus they are all expected to show up in the Raman spectra of CNO.

Since Nb atom is usually too large to squeeze into an oxygen tetrahedron, only a few rare-earth  $ANbO_3$  (A=Sm, La, Y, Yb) compounds were reported to have  $NbO_4$  units, among them,  $YNbO_3$  and  $YbNbO_3$  were investigated by Raman scattering.<sup>14,15</sup> Based on the experimental Raman data of  $YNbO_3$ , Blasse<sup>14</sup> deduced that the vibrational modes of a regular  $NbO_4$  tetrahedron with no interactions and distortions occur at 816( $\nu'_1$ ), 650( $\nu'_3$ ), 420( $\nu'_4$ ), and 340( $\nu'_2$ )  $cm^{-1}$ . These modes were observed by Jehng and Wachs<sup>15</sup> at about 813, 717, 435 and 331  $cm^{-1}$ , respectively, in the Raman spectra of  $YbNbO_3$ . Comparing with them, we assign the peak at 866  $cm^{-1}$  in Fig. 7 to the  $\nu'_1$  mode of  $NbO_4$  tetrahedra, band at 716  $cm^{-1}$  to the  $\nu'_3$  mode, and band at 449  $cm^{-1}$  in  $A_2$  symmetry to the  $\nu'_4$  mode. Since  $\nu'_2$  mode appears in the same region as  $\nu_4$  mode of  $NbO_6$  octahedron, it cannot be assigned unambiguously.

Raman bands below 170  $cm^{-1}$  belong to the external vibrations of the crystal, which originate from the translational vibrations of the Cs atoms and librational vibrations of  $NbO_6$  octahedra and  $NbO_4$  tetrahedra, and can not be clearly assigned.

### 3. Polarized Raman spectra at high temperature

Fig. 8 exhibits the temperature dependence of  $A_1(TO)$  modes recorded in the  $y(zz)x$  configuration. Abrupt decrease of intensity, broadening of linewidth, and disappearance of some peaks above 170 °C give a clear indication of a phase transition occurring between 160-170 °C, which is consistent with the anomaly in the complex capacitance at 165 °C shown in Fig. 2(a).

From Fig. 8, we observed a general decrease in intensity and increase in line-width as the temperature increases. However, the changes are drastic at the phase transition temperature  $T_c$ . Raman peaks at 21, 134, 199  $cm^{-1}$  and the small peaks around 345  $cm^{-1}$  vanish above  $T_c$ . Bands at 72, 120, and 166  $cm^{-1}$  persist to the high temperature phase but become very broad. The stretching modes  $\nu_1$  and  $\nu_2$  of  $NbO_6$  octahedron at 600 and 541  $cm^{-1}$  merge into a broad band. We also noticed that the positions of most Raman bands hardly depend on the temperature except bands at 43 and 53  $cm^{-1}$  which slightly shift to higher wavenumbers at high temperature. The temperature dependence of  $B_1(TO)$  and  $B_2(TO)$  modes are also similar to those of  $A_1(TO)$  modes described above.

### C. Phase transition mechanism

We noticed the following important features by summarizing the experimental results. First, the temperature dependences of impedance and Raman spectra both showed that there is a phase transition at 165 °C in CNO. Second, the dielectric constant follows the Curie-Weiss law above  $T_c$ .<sup>1</sup> Third, our sample maintained its integrity and perfect optical transparency after passing through  $T_c$  several times. Finally, it was reported that temperature hysteresis of the phase transition was lacking or very small.<sup>1</sup> Therefore, we deduce that this 165 °C phase transition in CNO does not involve structural reconstruction, but is caused by small displacive distortions between two structures, usually referred as displacive or Landau-type transition, in which the low and high symmetry structures are connected by a group-supergroup relationship.<sup>16</sup>

Most of displacive ferroelectric-paraelectric transitions associate with a soft mode,<sup>17,18</sup> which is a transverse optical phonon whose wavenumber decreases on approaching the phase transition temperature leading to an anomaly in static dielectric constant.<sup>18</sup> Thus, if the  $c$ -axis dielectric anomaly in CNO is related to a soft mode, we should detect it in  $A_1(TO)$  modes shown in Fig.8. However, we did not observe any wavenumber reduction in the low wavenumber Raman bands, which means the 165 °C phase transition might not be a ferroelectric-paraelectric phase transition. Although there are other reasons (e.g. overdamped soft mode) that the soft mode can not be observed, SHG measurement still supports this assumption, namely, SHG only shows a little decrease at  $T_c$  and persists above  $T_c$ ,<sup>1</sup> indicating that polarization still exists in the crystal because the intensity of SHG is

proportional to the square of the polarization ( $I_{SHG} \sim P^2$ ). Therefore, it is reasonable to assume that this 165 °C phase transition is not a ferroelectric-paraelectric transition and may be a ferroelectric-ferroelectric transition.

In order to study the phase transition mechanism we adopt an approach involving pseudosymmetry search based on low symmetry structure. This is different from the technique developed by Stokes and Hatch which starts from a high-symmetry structure, then all possible low-symmetry structures after a Landau-type phase transition can be deduced from isotropy subgroup decomposition.<sup>19</sup> In the pseudosymmetry analysis, when the atomic coordinates of a given structure display an approximate symmetry in addition to the actual space-group symmetry, the structure can be considered as pseudosymmetric with respect to a supergroup containing this additional symmetry, thus the structural pseudosymmetry can be interpreted as the result of a distortion from prototype structure with higher symmetry.<sup>20,21</sup> If the distortion is small enough, it is probable that the system acquires this more symmetrical configuration at higher temperatures through a Landau-type structural phase transition.<sup>16</sup> Accordingly, in order to find materials with a structural phase transition at high temperature, one must look for substances which have such pseudosymmetry. A general procedure for a systematic pseudosymmetry search among structures with a given space group symmetry has been developed.<sup>20,21</sup> It is based on the assumption that the higher approximate symmetry is described by a supergroup of the structure space group. As any group-supergroup chain can be represented by a chain of minimal supergroups, the search for pseudosymmetry is restricted to the minimal supergroups of the structure space group. The detailed search procedure has been described in Refs.20 and 21, and implemented by the program PSEUDO.<sup>22</sup> This procedure has been successfully applied to predict possible high-temperature phase transitions, including ferroelectric transitions, for inorganic structures with space groups  $P2_12_12_1$ ,<sup>20</sup>  $Pnma$ ,<sup>23</sup>  $Pba2$ ,<sup>21</sup> and  $Pmc2_1$ <sup>21</sup> listed in the inorganic crystal structure database (ICSD). In this work we use the program PSEUDO to investigate the mechanism of the phase transition we observed in CNO. To start, the program requires the space group of the crystal structure, cell parameters, and atomic positions in the asymmetric unit as inputs. Results of the calculations contain the pseudosymmetry supergroup, relations between atoms in the initial and transformed structure, atomic displacements necessary to obtain the supergroup, and ideal atomic positions in the supergroup structure.

Based on the room temperature space group  $Pnn2$ , lattice parameters, and atomic co-

ordinates of CNO,<sup>3</sup> the search for pseudosymmetry has been performed among all of the minimal supergroups of  $Pnn2$ . The maximum atomic displacement was restricted to be less than 0.75 Å. Usually, pseudosymmetries corresponding to displacements of less than 0.1 Å were not considered as relevant for possible high-temperature phase transitions; such displacements suggest a doubtful symmetry assignment in the structure determination.<sup>21</sup> Of all minimal supergroups of  $Pnn2$ , we found  $Imm2$  was the only possible pseudosymmetric minimal supergroup with the maximum atomic displacement of 0.16 Å. In general, pseudosymmetry search can be continued along the chain of minimal supergroups until no more pseudosymmetry is found.<sup>21</sup> Hence we conducted the search among all minimal supergroups of  $Imm2$ . We found no pseudosymmetric structure for  $Imm2$  with the constraint of maximal atomic displacement less than 0.75 Å. Same result was obtained when relaxing the constraint to 2 Å.

Based on the experimental results and deductions from the program PSEUDO, we infer that the phase transition in CNO is a structural change from the orthorhombic  $Pnn2$  to another orthorhombic  $Imm2$ . From the isotropy subgroup analysis<sup>19</sup> we know that this phase transition involves the irreducible representation  $X_2$  at the Brillouin zone boundary and the doubling of the primitive unit cell of the  $Imm2$  structure. Thus above  $T_c$ , some of the Brillouin zone center modes of  $Pnn2$  will become zone boundary modes in  $Imm2$  and can not be detected by the Raman spectra. In fact, according to the nuclear site group analysis,<sup>9</sup> at the Brillouin zone center of the  $Imm2$  structure, CNO would only have 204 normal modes of vibration  $\Gamma_{Imm2} = 60A_1 + 41A_2 + 45B_1 + 58B_2$ , among them 201 modes are Raman active. Therefore, after the phase transition, among the 405 Raman modes in the  $Pnn2$  structure, half of them will vanish. This is in good agreement with our high temperature Raman measurements, in which we observed several Raman bands disappear above  $T_c$ . Also, isotropy subgroup analysis<sup>19</sup> predicts that this phase transition can not be ferroelectric to paraelectric, as a consequence, ferroelectricity persists in both phases although the magnitude of the polarization may change. This explains why the SHG was found to exist above  $T_c$ .<sup>1</sup> We believe the dielectric anomaly at  $T_c$  is caused by the polarization change between these two different polar structures,  $Pnn2$  and  $Imm2$ . In fact this resembles the dielectric behavior of BaTiO<sub>3</sub> whose dielectric constant along the crystal  $a$  axis also exhibits sharp  $\lambda$ -shaped anomalies at its two ferroelectric-ferroelectric phase transitions.<sup>24</sup>

In the  $Imm2$  structure, 2 pairs of Nb and 6 pairs of O atoms become symmetry equivalent, all of them displace along three orthorhombic axes of the  $Pnn2$  structure, whereas the other atoms that do not become symmetry equivalent only shift along the  $a$  or  $b$  axis. From the calculations of the bond lengths and bond angles in  $Imm2$ , we found that the octahedra and tetrahedra are much less distorted than those in  $Pnn2$ . In addition, we present in Fig.9 the projections along the  $b$  axis for both the  $Pnn2$  and  $Imm2$  structures. We noticed that these two structures are quite similar except that the Nb atoms deviate from the centers of octahedra in  $Pnn2$  structure, while they are located almost at the centers of octahedra in  $Imm2$  structure.

#### IV. CONCLUSION

We have studied the temperature and frequency dependence of impedance and polarized Raman spectra of single crystal CNO. We found a phase transition at 165 °C indicated by the anomalies of the complex capacitance and Raman spectra. Based on the pseudosymmetry search we proposed this phase transition is originated from a structural change between the room temperature orthorhombic  $Pnn2$  and another orthorhombic  $Imm2$ . We satisfactorily explained the experimental results by using this mechanism. We also measured the room temperature Raman spectra in  $A_1(TO)$ ,  $A_2$ ,  $B_1(TO)$  and  $B_2(TO)$  symmetries and assigned the high wavenumber Raman bands to the internal vibrations of the  $NbO_6$  octahedra and  $NbO_4$  tetrahedra.

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TABLE I: Wavenumbers (unit:  $cm^{-1}$ ) of the Raman modes and assignments to the internal vibrations of the  $NbO_6$  octahedra and the  $NbO_4$  tetrahedra. (s=strong, m=medium, w=weak, br=broad, sh=shoulder, v=very )

$A_1(TO)$	$A_2$	$B_1(TO)$	$B_2(TO)$	Assignment
21s		22m	31s (sh)	
		33s(sh)	39vs	
43m	45w	41vs	49vs	
53m	56m	50vs		
72s	75vw	72m	69vw	external modes
120s	121m	121s	110vw (br)	
134s	128m	134s		
	158vs	155s	152s	
166vs		167vs	166s (sh)	
199vs	197vs	200s	199m	$\nu_6$ - $NbO_6$
	224s			$\nu_6$ - $NbO_6$
250vw	253vw(sh)		234vw	$\nu_5$ - $NbO_6$
268vw	265vw(sh)	262m	266w	$\nu_5$ - $NbO_6$
304vw	306vw		313w	$\nu_4$ - $NbO_6$
319vw		323vw	318w	$\nu_4$ - $NbO_6$
345w		346vw		
399vw (br)	374m	398vw		
	404m	419vw		
	449w			$\nu'_4$ - $NbO_4$
541w (br)		542w (sh)	536vw	$\nu_2$ - $NbO_6$
597s		600s		$\nu_1$ - $NbO_6$
620s	611s	621s	620vw	$\nu_1$ - $NbO_6$
		671w(sh)	675vw	$\nu_3$ - $NbO_6$
716w	737w	716vw		$\nu'_1$ - $NbO_4$
866m	868vw	865vw	864vw	$\nu'_3$ - $NbO_4$
923w		921vw	922vw	

FIG. 1: Crystal structure of CNO at room temperature. (a) Projection along  $a$  axis. (b) arrangement of  $\text{NbO}_6$  octahedra and  $\text{NbO}_4$  tetrahedra in the structure (from Ref. 3).

FIG. 2: Temperature dependence of complex capacitance  $C^*$  at different frequencies. (a) Real part  $C'$ , (b) imaginary part  $C''$ .

FIG. 3: Frequency dependence of imaginary part  $Z''$  of impedance  $Z^*$  at different temperatures.

FIG. 4: Equivalent circuit used to represent the electrical properties of bulk and electrode polarization effects.

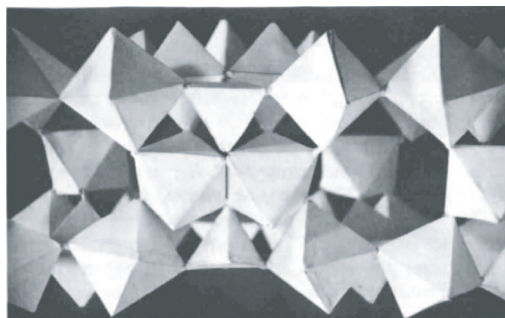
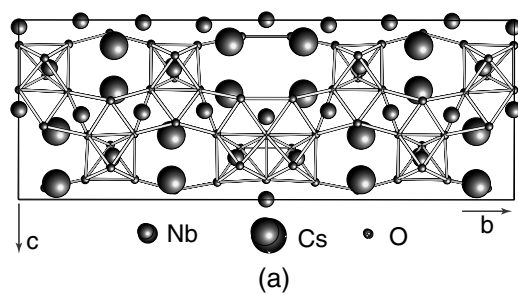
FIG. 5: Frequency dependence of imaginary part  $Z''$  of impedance  $Z^*$  at different temperatures. Solid lines represent fitted results.

FIG. 6: Temperature dependence of fitted parameters. (a) Bulk resistance  $R_b$ , (b) bulk capacitance  $C_b$ , (c) relaxation time  $\tau_b = R_b C_b$ .

FIG. 7: Room temperature Raman spectra in different symmetries of normal vibrations.

FIG. 8: High temperature Raman spectra with  $A_1(TO)$  symmetry.

FIG. 9: Projections of CNO crystal along the  $b$  axis. (a) Room temperature  $Pnn2$  structure, (b) calculated high temperature  $Imm2$  structure. Arrows are used to guide the eyes.



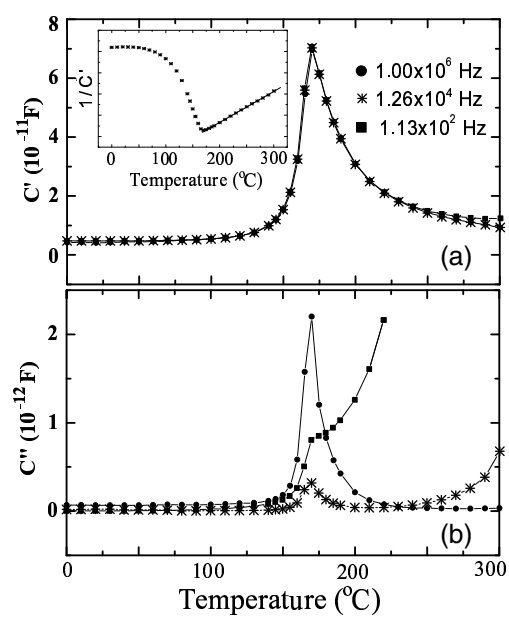


Figure 2

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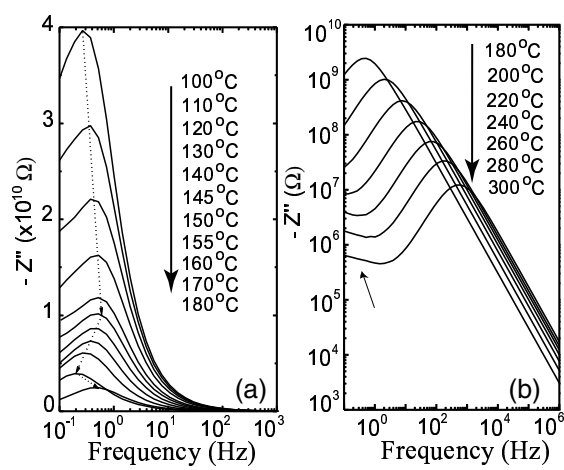
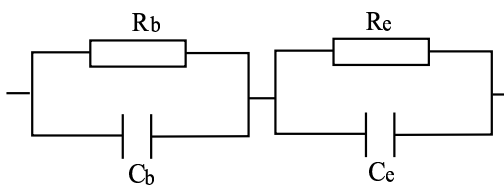


Figure 3      BY9238      22Nov2004



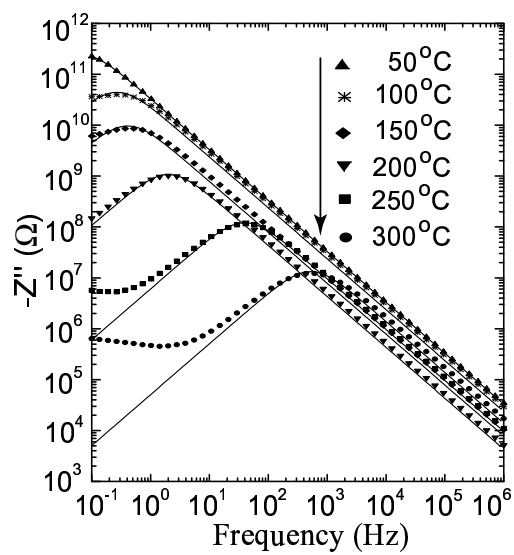


Figure 5      BY9238    22Nov2004

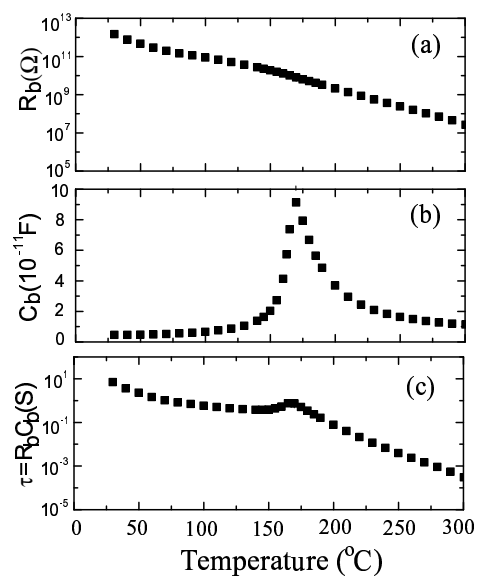


Figure 6      BY9238    22Nov2004



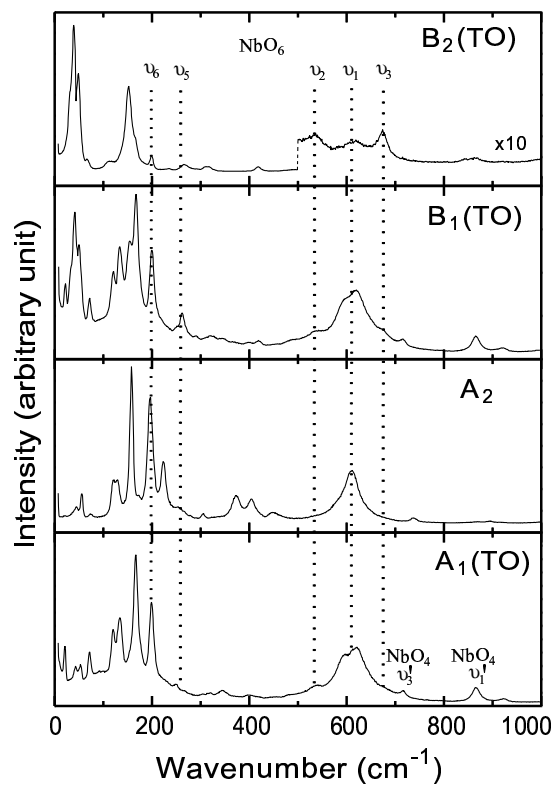


Figure 7      BY9238    22Nov2004

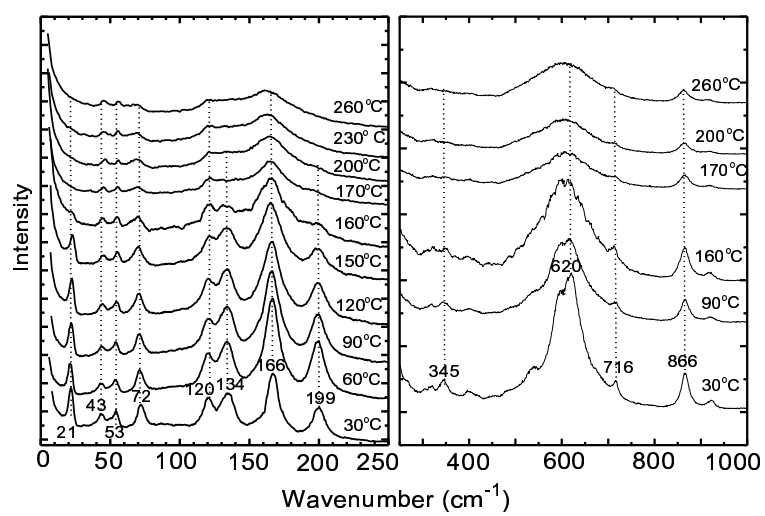
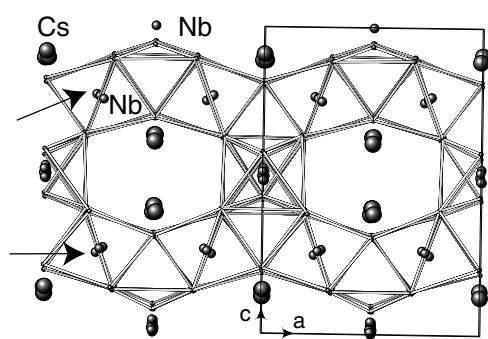


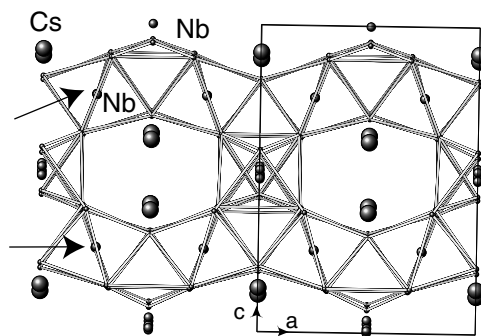
Figure 8

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(a)



(b)