

12-22-2017

Ability of Functional Performance Tests to Identify Individuals With Chronic Ankle Instability: A Systematic Review With Meta-Analysis

Adam B. Rosen

University of Nebraska at Omaha, arosen@unomaha.edu

Alan R. Needle

Jupil Ko

Northern Arizona University, jupilko@gmail.com

Follow this and additional works at: <https://digitalcommons.unomaha.edu/hperfacpub>

 Part of the [Health and Physical Education Commons](#), and the [Kinesiology Commons](#)

Recommended Citation

Rosen, Adam B.; Needle, Alan R.; and Ko, Jupil, "Ability of Functional Performance Tests to Identify Individuals With Chronic Ankle Instability: A Systematic Review With Meta-Analysis" (2017). *Health and Kinesiology Faculty Publications*. 27.

<https://digitalcommons.unomaha.edu/hperfacpub/27>

This Article is brought to you for free and open access by the School of Health and Kinesiology at DigitalCommons@UNO. It has been accepted for inclusion in Health and Kinesiology Faculty Publications by an authorized administrator of DigitalCommons@UNO. For more information, please contact unodigitalcommons@unomaha.edu.



1 **The ability of functional performance tests to identify individuals with chronic ankle**
2 **instability: A systematic review with meta-analysis.**

3
4
5 Adam B. Rosen PhD, ATC
6 The University of Nebraska at Omaha
7 arosen@unomaha.edu

8
9 Alan R. Needle, PhD, ATC
10 Appalachian State University
11 needlear@appstate.edu

12
13 Jupil Ko, PhD, ATC
14 Northern Arizona University,
15 jupil.ko@nau.edu

16
17 **Conflicts of Interest and Source of Funding:** The authors received no research funding and have
18 no financial affiliation or involvement with any commercial organization that has a financial
19 interest in this manuscript.

20
21 **Corresponding Author**

22 Adam B. Rosen,
23 6001 Dodge Street, HPER 207Y,
24 Omaha, NE, USA, 68132
25 arosen@unomaha.edu,
26 (o) 402-554-2057
27 (f) 402-554-3693

28
29 Word count of the abstract: 250

30 Word count of body of the manuscript: 4220

31

32 **Abstract**

33 **Objective:** The purpose of this systematic review with meta-analysis was to determine the
34 effectiveness of functional performance tests (FPTs) in differentiating between individuals with
35 CAI and healthy controls. **Data Sources:** The National Library of Medicine Catalog (PubMed),
36 the Cumulative Index for Nursing and Allied Health Literature (CINAHL), and SPORTDiscus,
37 from inception to June, 2017 were searched. Search terms consisted of: “*Functional Performance*
38 *Test**” **OR** “*Dynamic Balance Test**” **OR** “*Postural Stability Test**” **OR** “*Star Excursion Balance Test**”
39 **OR** “*Hop Test**” **AND** “*Ankle Instability*” **OR** “*Ankle Sprain*”. Included articles assessed differences
40 in FPTs in patients with CAI compared to a control group. **Main Results:** Included studies were
41 assessed for methodological quality and level of evidence. Individual and mean effect sizes were
42 also calculated for FPTs from the included articles. 29 studies met criteria and were analyzed. The
43 most common FPTs were timed-hop tests, side-hop, multiple-hop test, single-hop for distance,
44 foot-lift test and the star excursion balance tests (SEBT). The side-hop ($g=-1.056, p=0.009, n=7$),
45 timed-hop tests ($g=-0.958, p=0.002, n=9$), multiple-hop test ($g=1.399, p<.001, n=3$) and foot-lift
46 tests ($g=-0.761, p=0.020, n=3$) demonstrated the best utility with large mean effect sizes, while
47 the SEBT anteromedial ($g=0.326, p=0.022, n=7$), medial ($g=0.369, p=0.006, n=7$) and
48 posteromedial ($g=0.374, p<0.001, n=13$) directions had moderate effects. **Conclusions:** The side-
49 hop, timed-hopping, multiple-hop and foot-lift appear the best FPTs to evaluate individuals with
50 CAI. There was a large degree of heterogeneity and inconsistent reporting, potentially limiting the
51 clinical implementation of these FPTs. These tests are cheap, effective alternatives compared to
52 instrumented measures.

53

54 Introduction

55 Lateral ankle sprains are consistently among the most common injuries observed in
56 physically active populations, including high school and collegiate athletes, and the military.¹⁻⁴
57 Although once considered a benign injury causing only a small loss of time from activity, the past
58 several decades have established this injury as the first in a cascade that has the potential to
59 contribute to decreased health-related quality of life.^{5,6} Most commonly described following ankle
60 injury is the development of chronic ankle instability (CAI) – repeated sensations of “giving way”
61 or “rolling” of the ankle, often associated with recurrent injury.^{7,8} CAI has been associated with
62 several detrimental consequences that include decreased physical activity,⁹ and the early onset of
63 post-traumatic ankle osteoarthritis.^{10,11} Furthermore, the combination of recurrent injury and
64 degenerative changes to the joint associated with chronic ankle instability represent a significant
65 financial burden on the healthcare system, estimated to cost 6.2 billion USD per year.^{5,12}

66 Current standards of clinical practice rely on self-reported questionnaires in order for
67 clinicians and researchers to determine if patients or participants meet the criteria of having CAI.¹³
68 A wide variety of questionnaires are implemented, with questions ranging from asking individuals
69 to estimate the number of giving-way episodes they experience, to rating any pain or difficulty in
70 performing varying functional task related to sport or activities of daily living.¹⁴⁻¹⁷ While these
71 tools have proven useful, they suffer from limitations related to their subjectivity and patient
72 interpretation of questions (e.g. individual understandings of “giving way”).¹⁶ The reliance on
73 solely subjective measures of ankle function to diagnose individuals as having CAI is in stark
74 contrast to similar models of knee instability that rely not only on subjective questionnaires, but
75 also on a combination of special and functional tests in order to characterize sensations of giving
76 way.¹⁸ For instance, various hop tests, including a triple-hop for distance, have been used to

77 discriminate functional status for patients that have experienced a rupture of the knee's anterior
78 cruciate ligament.¹⁹ However, a similar set of standardized tests have not been documented with
79 regard to their efficacy in discriminating individuals with CAI.

80 An abundance of research has been conducted to determine functional deficits such as
81 strength,²⁰ proprioception,²¹ balance,²² and functional kinematics²³ between patients with CAI and
82 healthy participants, as well as those that have successfully “coped” following injury.²⁴ However,
83 the vast majority of these tests require the use of advanced equipment including isokinetic
84 dynamometers, force plates, and motion capture systems in order to differentiate these individuals.
85 Clinical practitioners would benefit from non-instrumented clinical tests, such as functional
86 performance tests (FPTs), in order to determine the functional ability of patients with suspected
87 CAI. These FPTs have the advantage of being inexpensive, quick to administer, and accessible in
88 clinical and field settings, with examples including single-leg heel and toe raises, non-instrumented
89 balance tests, and hopping tasks. A simple outcome measurement that could include time in
90 position or to completion of a task, distance moved, or number of repetitions in a given time allow
91 for standardized measures that can be compared across patients and at numerous time points
92 throughout a patient's rehabilitation.

93 To date, investigations into FPTs in chronically unstable ankles have largely consisted of
94 hopping test that require large degrees of lateral movement, as well as non-instrumented tests of
95 balance such as the Star Excursion Balance Test (SEBT). However, a large degree of differences
96 in methodology, outcome measures, and results have served as a clear barrier towards the
97 implementation of these potentially useful tests in clinical practice.²⁵ A comprehensive summary
98 of the findings in this area will allow healthcare providers to make evidence-based informed
99 decisions related to functional performance testing in order to aid the diagnosis of – and track the

100 rehabilitation for – patients with CAI. Therefore, the purpose of this systematic review with meta-
101 analysis was to search the available literature to identify studies that implemented FPTs to
102 differentiate patients with CAI from healthy controls, and to perform a quantitative and qualitative
103 appraisal of the methodology and findings reported throughout these investigations. These findings
104 may, therefore, provide estimates regarding the effect sizes for varying FPTs for discriminating
105 CAI, providing guidance to clinicians regarding which tests may best be implemented in practice.

106 **Methods**

107 This systematic review and meta-analysis was completed in a manner in accordance with
108 recommendations made in the preferred reporting items for systematic reviews and meta-analyses
109 (PRISMA) statement (Supplemental document 1).²⁶

110 *Data Acquisition*

111 An electronic database search was initially conducted by two of the coauthors (JK & AN)
112 on National Library of Medicine Catalog (Medline/PubMed), the Cumulative Index for Nursing
113 and Allied Health Literature (CINAHL), and SPORTDiscus, from inception to June, 2017. The
114 initial key-term search consisted of exactly: “*Functional Performance Test**” OR “*Dynamic Balance*
115 *Test**” OR “*Postural Stability Test**” OR “*Star Excursion Balance Test**” OR “*Hop Test**” AND “*Ankle*
116 *Instability*” OR “*Ankle Sprain*”. Key terms searched were determined from our purpose and
117 research question, and confirmed by all investigators prior to conducting the search.

118 *Inclusion and Exclusion Criteria*

119 All articles included in the systematic review and meta-analysis met the following
120 inclusion criteria: (1) written in the English language; (2) research conducted on human
121 participants; (3) studies must utilize a functional performance test that involves hopping, landing,
122 agility and/or non-instrumented balance assessment; and (4) studies must include a group

123 comparison between patients with CAI and healthy controls. While studies would preferably
124 adhere to identifying CAI individuals in accordance with standards put forward by the
125 International Ankle Consortium¹³ many articles were published prior to this criteria. Therefore,
126 participants in the experimental group must have enrolled those with a history of at least one ankle
127 sprain with subsequent complaints of “rolling” or “giving-way” identified through self-reporting
128 or use of a patient-reported outcomes, consistent with criteria related to functional or chronic ankle
129 instability.²⁷ Research studies were excluded if they utilized the uninjured limb as a comparison,
130 or if functional testing required instrumentation such as force platforms, electromyography and
131 other biomechanical data as primary outcome measures.

132 *Data extraction and analysis*

133 After the initial search was conducted utilizing the aforementioned key terms, duplicates
134 from across the databases were removed. The titles and abstracts were then inspected for relevance
135 to the inclusion and exclusion criteria, followed by obtaining full-text manuscripts for those
136 identified. Post-full text retrieval, manuscripts were further scrutinized for inclusion and exclusion
137 criteria and the reference lists of each were cross-checked for additional manuscripts. Consensus
138 among all the authors were then sought for the final inclusion of manuscripts.

139 Manuscripts were then evaluated separately by two authors (AR & AN) for their
140 methodological quality via the 22-item checklist for observational studies put forth by the
141 Strengthening the Reporting of Observational Studies in Epidemiology (STROBE) statement.²⁷
142 STROBE scores were averaged across all studies and assessed as a percentage. Studies were also
143 assessed for their level of evidence based on the Oxford Centre for Evidence-Based Medicine’s
144 2011 guidelines.²⁸ Disagreements in scoring were resolved with consensus between the two

145 authors, if a situation arose where consensus was not able to be achieved, the third author was
146 consulted.

147 Numerical data extracted included the sample sizes and outcome measures for each
148 functional performance test by group. A single investigator (AR) conducted all effect size
149 calculations through Comprehensive Meta-Analysis (V3.3.070, Biostat, Inc., Englewood, NJ).
150 Effect sizes were calculated using the standardized mean difference for each of the outcome
151 measures adjusting for small sample bias (Hedges G).²⁹ Due to the uncertainty of evaluating a
152 homogenous population a mean effect size (Δ) was determined using a random effects model, if
153 three or more studies evaluated a similar FPT.³⁰ Further tests were calculated to determine if
154 heterogeneity existed by assessing the I^2 and the Q -statistics. Finally, fail safe N was determined
155 to evaluate the potential number of unpublished studies which would bring the value to a level of
156 insignificance for each of the mean effect sizes.³⁰

157

158 **Results**

159 Figure 1 provides a flow chart of the article retrieval. 996 manuscripts were identified by
160 the initial search terms across the databases and after duplicate removal 479 remained. Following
161 title and abstract screening 433 articles were excluded while 46 remained and their full-texts were
162 retrieved. Seven additional manuscripts were then identified by cross-checking the reference lists
163 of the full-text manuscripts. Twenty-four of these articles were then excluded: 14 for assessing
164 only instrumented or biomechanical data, 6 not comparing against a control group, 3 not having
165 an experimental CAI group and 1 being repetitive data from a previous study. Ultimately, 29
166 manuscripts were assessed, seven were cross-sectional studies, 21 were case-control and one was
167 a randomized-control trial (Table 1). Correspondingly, the studies were deemed levels 2, 3 and 4

168 evidence, respectively. Only four disagreements in STROBE scoring were needed to be resolved
169 via consensus and most often, disagreements occurred regarding whether the experimental design,
170 participant demographics or results were stated with enough detail. The average STROBE score
171 across the evaluated studies was 17.3 ± 1.6 out of a possible 22 (Supplementary document 2). In
172 total, 97 individual effect sizes for FPTs' were calculated, as well as 11 overall mean effect sizes.
173 Altogether, across the 29 studies 1317 participants were surveyed, with 680 participants suffering
174 from CAI and 637 control participants.

175 Pooled effect sizes were calculated for the most common FPTs which included the single-
176 limb timed hopping tests ($n=9$),³¹⁻³⁹ the single-limb side-hop test ($n=7$),^{32,34,36-40} all directions of
177 the star-excursion balance test ($n=15$),^{36, 40-53} the single-limb hop test for distance ($n=3$),^{34,37,39} the
178 multiple-hop test ($n=3$),⁵⁴⁻⁵⁶ and the foot-lift test ($n=3$).^{36,40, 57} While some studies reported several
179 different timed hop tests, a single timed hop test was chosen from each available study based on
180 similarity to limit the influence of individual studies on the mean effect. The figure-of-8 hopping
181 test was the most common test ($n=6$)^{32,34,36-39} included in the single-timed hopping tests mean
182 effect while the other 3 studies reported FPTs described as the single-limb hopping test,³¹ hopping
183 test,³³ and single-leg jump landing test,³⁵ respectively.

184 The distribution for all unweighted effects calculated are seen in Figures 2, 3, 4 and
185 supplementary document 3. Mean effect and their 95% confidence intervals, tests for homogeneity
186 and fail safe N calculations are located in table 2. The single-limb side-hop ($g = -2.314, p=0.001$),
187 timed single limb hop tests ($g = -1.056, p=0.009$), multiple-hop test ($g = 1.399, p=0.001$) and foot-
188 lift test ($g = -0.761, p=0.020$) had large, significant mean effects across the included studies. While,
189 the SEBT-AM ($g = 0.326, p=0.022$), SEBT-M ($g=0.369, p<0.006$), and SEBT-PM ($g=0.406,$
190 $p<0.001$) directions demonstrated small to moderate, significant main effects. The single-hop ($g =$

191 0.033, $p=0.859$), SEBT-A ($g= 0.264$, $p=0.051$), SEBT-PL ($g= 0.056$, $p=0.599$), SEBT-AL ($g=$
 192 0.246 , $p=0.116$), SEBT-P ($g= 0.232$, $p=0.137$) and SEBT-L ($g= 0.253$, $p=0.105$) was not
 193 significant between groups. The timed hop and side-hop tests had relatively high Q , I^2 and fail-
 194 safe N values. Funnel plots for the single-limb hop and SEBT are located in

195 Other FPTs reported in the literature included; the agility hop test ($g= -0.039$),⁵⁸ balance
 196 error scoring system (BESS) ($g= -1.026$; -0.696),^{36,59} co-contraction test ($g= -0.235$), japan test
 197 ($g= 0.670$),³⁵ shuttle run test ($g= -0.114$),⁵⁸ single-limb hurdle test ($g= -3.748$; -0.168),^{31,37} six-
 198 meter crossover hop test ($g= -3.484$),³² square hop test ($g= -13.256$; -3.416),^{32,37} time-in-balance
 199 test ($g= 0.898$; -0.362),^{36,40} triple-crossover hop ($g= -0.256$)³⁹ and the up-down hop test ($g= -$
 200 0.609).³⁴ Descriptions of individual functional performance tests are located in Table 3.

201

202 **Discussion**

203 The purpose of this systematic review with meta-analysis was to synthesize the literature
 204 to determine the relative effectiveness of various FPTs in differentiating between those with CAI
 205 and healthy individuals. The most effective FPTs to discriminate those with CAI, in descending
 206 order based on the magnitude of the pooled effect size, are the side-hop test, the multiple-hop test,
 207 timed-hop tests, foot-lift test and the three directions of the SEBT, respectively. The single-hop
 208 test for distance appears to be an ineffective FPT in CAI populations, while a multitude of other
 209 FPTs lacked sufficient evidence to determine effectiveness although presented promising initial
 210 findings.

211 *Single-Limb Hop tests*

212 The single-limb side-hop and timed hop tests provided the best clinical utility to identify
 213 those with CAI demonstrating large effect sizes. Although both tests are timed, the side-hop

214 demonstrated greater utility than other single-limb timed-hopping tests such as the figure-of-8. It
215 may be hypothesized that hopping tests that challenge an individual directly in the frontal plane
216 would provide an additional challenge for patients with CAI, than challenging individuals directly
217 in the sagittal plane. The side-hop test is performed by completing 10 medial-lateral single-limb
218 hops for a total of 20 jumps as quickly as possible, a movement occurring directly in the frontal
219 plane. In comparison the timed-hop tests are typically through a course such as the figure 8 which
220 incorporates both sagittal and frontal plane aspects. Perhaps, the medial-lateral stress placed on the
221 joint is more effective to disrupt those with CAI compared to frontal plane tasks. Although no
222 studies have quantified the direct stress on the lateral ligament complex during these tasks, it has
223 been revealed that the side hop requires a significant amount of peroneus longus activation, of
224 which patients with CAI may be deficient. Nonetheless both appear to be effective at
225 discriminating those with CAI.^{60,61}

226 However, of some concern pertaining to the side-hop and timed hop tests is the funnel plots
227 (Figures 5 and 6, supplemental documents 4, 5 and 6) and the heterogeneity statistics analyses
228 indicate there may be some variations among the included studies. Driving these values was a
229 study by Sharma et al.,³⁷ which had significant influence on the mean effect size. Although this
230 study substantially influenced the effect sizes, when removing this particular outlier, the mean
231 effect size for both tests remain moderate-large and significant (side-hop: $g = -1.444$, $p = .022$;
232 timed-hop: $g = -0.446$, $p = .027$). It's difficult to ascertain why this study in particular had such a
233 massive individual effect size; however, one possible explanation is that the authors dichotomized
234 their instability group by those with CAI who reported giving way during the test, and those who
235 did not.³⁷ The group reporting giving way was used for the meta-analysis and perhaps this drove
236 the large effect sizes. Thus, utilizing FPTs in those with CAI with those who report feeling unstable

237 during their performance may be much more likely to identify those with CAI compared to their
238 healthy counterparts or those who self-report CAI yet fail to report instability during the FPT.

239 Several other hopping tests may also provide adequate discriminative ability yet have only
240 been reported by one or two studies. The single-limb hurdle test, six-meter crossover hop test,
241 square hop test and up-down hop test also demonstrated moderate-large individual effect sizes.
242 Each of these tests are similar to the timed-hop tests, as they each require the participants to
243 perform a task or course as fast as they can on a single-limb. The greatest differences exist
244 regarding the amount of vertical, lateral, or forward movement across tasks. However, the relative
245 effectiveness of these tasks, although less studied than the single-limb side-hop or figure-of-8,
246 suggest that tests that require components of speed, power, and agility in a combination of planes
247 will serve to differentiate patients with CAI. These findings are consistent with several theories
248 behind CAI that suggest a multifaceted problem affecting multiple functional abilities^{61,62}. Thus,
249 including a timed-hop test such as the side-hop or figure-of-8 test during evaluation of individuals
250 with CAI is valid and appropriate.

251 Interestingly based on the results of the meta-analysis the single-hop jump for distance
252 does not differentiate those with CAI from healthy controls. The single-hop jump is much different
253 than the timed-hop and side-hop jump testing due to the fact it assesses and requires greater
254 muscular strength and power rather than speed and agility. While interesting, this negative result
255 is rather unsurprising due to the evidence regarding the role of ankle strength in CAI is widely
256 disputed and equivocal.⁶⁴⁻⁶⁸ Furthermore, this test stresses the joint primarily in the sagittal plane,
257 rather than the frontal and transverse planes that would be more difficult for patients with CAI.
258 Similarly, another primarily uniplanar test which was studied by only one group, the triple-
259 crossover hop test demonstrated a small effect size. The triple-crossover hop test like the single-

260 limb hop for distance requires participants to jump as far as possible, but in this test it is the
261 maximum distance after three jumps across a 15cm line. Although the incorporation of a crossover
262 adds a lateral component, the test outcome is primarily the distance advanced in the forward
263 direction. Therefore, utilizing FPT's in those with CAI which require muscular power within the
264 sagittal plane seems to be ineffective compared to agility-based hopping tests.

265 A third class of hopping tests observed in this review were those requiring individuals to
266 hop across a pattern, scoring individuals on "errors" rather than a measure of time or distance. The
267 multiple-hop test across three studies demonstrated a large pooled effect with the rest
268 demonstrating conflicting results according to effect size calculations. Although similarly
269 requiring the functional ability of muscle strength, power, and agility to perform hops, an
270 additional component of postural stability is added by scoring individuals on their ability to "stick"
271 a landing. While intriguing, this does require a degree of subjectivity for the assessor that may
272 serve to bias results. Similar measures exist throughout the CAI literature using instrumented
273 measures derived from force plates. Moderate evidence exists establishing diminished postural
274 control during hopping as quantified through the dynamic postural stability index.⁶⁹⁻⁷² However,
275 this measure relies on precise force calculations with differences between uninjured and injured
276 individuals often not grossly visual to an assessor. As conflicting results exist using non-
277 instrumented measures, additional studies are necessary to determine the ability of FPTs using
278 error systems during hop landing to discriminate between healthy and CAI individuals.

279 *Balance Tests*

280 The SEBT, depending on the direction also provides adequate discriminative ability
281 between those with without CAI. The anteromedial, medial and posteromedial directions each
282 demonstrated moderate mean-effect sizes, however the anterior and posterolateral were small and

283 considered unimportant. Based on these results, those with shorter anteromedial, medial and
284 posteromedial reach distances are more likely to have CAI. This could potentially be explained by
285 considering the shifts in the center of gravity occurring through reaches in medial direction,
286 causing tensile forces to be applied on the lateral ankle. A previous systematic review has also
287 been completed on the SEBT,⁷³ however, the authors chose not only CAI, but other pathologies
288 such as ACL injuries. Additionally, studies were included that assessed the injured compared to
289 uninjured limbs as well as CAI compared to controls. While the authors similarly concluded the
290 SEBT was an effective FPT in those with CAI, their study did not re-synthesize data to determine
291 mean effects, nor was their main purpose to identify the differences in the SEBT across CAI
292 populations. Based on the current results, not all directions of the SEBT have similar prognostic
293 ability as the anteromedial, medial and posteromedial directions provided the best clinical utility.
294 While this is not a particularly new finding, some previous studies have attempted to address this
295 by simplifying the SEBT to the Y balance test, which includes only the anterior, posteromedial
296 and posterolateral directions.^{74,75} However, it appears that the anterior direction may not be as
297 sensitive enough to differentiate between controls and CAI and clinicians should consider the
298 anteromedial, medial and posteromedial directions specifically for individuals with CAI.

299 Balance and postural control deficits are often described in those with CAI, which could
300 potentially contribute to functional performance deficits observed during the SEBT.^{50,70,72,76,77}
301 While the SEBT is considered a dynamic postural control task, requiring movement of the body
302 over a stationary base of support, additional clinical tests are used to assess static postural control.
303 The foot-lift test (counting the number of times a part of the foot lifts off the ground) appears to
304 be an adequate discriminating test, while the time-in balance³⁶ also demonstrated large effects in
305 a single-study. The BESS – an error system identifying gross instability during 3 to 6 stance

306 conditions – was reported in two studies^{36,59} and demonstrated a moderate-large effect size
307 between CAI and control participants. These findings suggest that FPTs requiring an individual to
308 maintain static postural control is able to yield similar results as seen in studies using advanced
309 equipment such as force plates.

310 No studies provided a direct comparison between abilities of hopping tests and balancing
311 tests in discriminating CAI. As previously stated, these assess different components of ankle
312 function with the former addressing muscular strength, power, and agility and the latter assessing
313 proprioception and neuromuscular control. Given these different components, it may be
314 recommended that both hopping and balance based measures be included in the assessment of
315 patients with CAI. While these would combine yield very high effect sizes and a strong ability to
316 predict functional instability in these patients, there are additional components that should be
317 considered. Dorsiflexion deficits are consistently observed in those with CAI.⁷⁸⁻⁸⁰ To some extent,
318 this may be assessed through the anterior reach of the SEBT, as a recent study found that
319 dorsiflexion range of motion, eversion strength and time-to-boundary contributed most to SEBT
320 reach distances.⁷⁷ However, further studies assessing dorsiflexion range-of-motion through simple
321 tests such as the weight-bearing lunge should be considered.⁷⁹

322 *Limitations*

323 The included studies in the systematic-review were case-control and cross-sectional
324 studies, described as level IV and III evidence, respectively, indicating limited methodological
325 quality. In addition, the average STROBE score indicates relative consistency in the
326 methodological quality of the evidence. With a maximum of 22, the average score as a percentage
327 was $78.6 \pm 7.3\%$. The two most common faults were no indication of addressing sources of bias,
328 including blinding procedures as well as providing a sample size justification. Other notable

329 sources of demerits included providing information relating to distributive statistics, funding
330 sources and indications of study design early in the manuscript. Improving methodological quality
331 and study design stands to greatly improve FPT evidence. Due to these differences in reporting
332 only pooled effect sizes were able to be calculated as opposed to cut-off scores for individual tests.
333 Future studies, may want to better identify and address systematic ways to improve the quality of
334 manuscripts in order to elevate the literature.

335 Across the studies there were also inconsistent reporting of inclusion and exclusion criteria
336 making comparisons difficult. In 2013, recommendations put forth by International Ankle
337 Consortium established guidelines for reporting populations of individuals with CAI; however,
338 many of these studies pre-dated these recommendations and therefore did not provide information
339 necessary to understand these populations. One notable point of caution that should be added is
340 that most of the studies included in the analysis were conducted on relatively physically active
341 individuals. This is because most of the research on CAI is conducted by sports medicine
342 specialists. Whether these results apply to more sedentary populations is unknown. Thus,
343 additional CAI research may want to focus on non-physically active populations. It remains
344 possible that different measures may better apply to different populations.

345 Other limitations include the sample size of both the included studies and the total number
346 of studies included in this meta-analysis. The sample sizes of the studies themselves limit their
347 statistical power and generalizability of the effects found. Larger samples would provide superior
348 evidence for the use of FPTs in those with CAI. The total number of studies also limits the effects
349 of this meta-analysis. As reported, many of the FPTs have only been assessed in one or two limiting
350 the ability to perform a meta-analysis on those individual tests. Additionally, pertaining to the
351 SEBT anteromedial and posteromedial directions the estimates for the fail-safe N calculations

352 indicate publication bias may be present with four additional publications necessary to negate the
353 present results.⁸¹ Although this is concerning for the SEBT, the fail-safe N calculations for the
354 timed-hop and side-hop calculations are very high, indicating strong, stable effect sizes. This
355 provides evidence more studies with larger samples need to be conducted in order to properly
356 evaluate the alterations in muscle activation strategies during jump landing activities in those with
357 CAI.

358 **Conclusions**

359 Level B evidence exists suggesting that the side-hop, timed-hopping, multiple-hop tests
360 and foot-lift test are able to discriminate between those with CAI and healthy individuals. Level B
361 evidence also exists suggesting that the medial, anteromedial, and posteromedial components of
362 the SEBT are similarly able to differentiate. While a multitude of additional tests exist presenting
363 a wide range of effect sizes, it appears that those tests that include timed measures of lateral
364 hopping, and those quantifying balance may have clinical utility. Recent evidence suggests
365 combining the results of multiple FPTs has greater clinical utility than singular tests.⁴⁰ Specifically,
366 a combination of a version of the side-hop test and SEBT displayed the greatest clinical utility.
367 However, limited research is available to corroborate additional tests and a more comprehensive
368 assessment of FPT's may be necessary to determine the best combination of FPTs to assess CAI.

369 These tests present an advantage to clinicians aiming to address functional deficits in
370 patients with CAI as they are cheap, effective alternatives compared to instrumented measures.
371 However, further research is necessary to aid in the full implementation of these tests clinically.
372 Greater sample sizes and study volume would improve upon evaluation methods and decrease
373 publication bias in order to more appropriately determine clinical measures to assess those with
374 CAI. Furthermore, consistency in test implementation must be encouraged in order to calculate

375 precise protocols and cut-off scores that may improve clinical utility. Lastly, it remains largely
376 unknown in which ways current treatment methods may serve to modify these values, affecting
377 the implementation of these measures through patient rehabilitation.

378

379

380 References

- 381 1. Waterman BR, Belmont PJ, Jr., Cameron KL, Deberardino TM, Owens BD.
382 Epidemiology of Ankle Sprain at the United States Military Academy. *Am J Sports Med.*
383 2010;38(4):797-803.
- 384 2. Waterman BR, Owens BD, Davey S, Zacchilli MA, Belmont PJ, Jr. The epidemiology of
385 ankle sprains in the United States. *J Bone Joint Surg Am.* 2010;92(13):2279-84.
- 386 3. Hootman JM, Dick R, Agel J. Epidemiology of collegiate injuries for 15 sports: summary
387 and recommendations for injury prevention initiatives. *J Athl Train.* 2007;42(2):311-9.
- 388 4. Yeung MS, Chan KM, So CH, Yuan WY. An epidemiological survey on ankle sprain. *Br*
389 *J Sports Med.* 1994;28(2):112-6.
- 390 5. Gribble PA, Bleakley CM, Caulfield BM, Docherty CL, Fourchet F, Fong DT, et al.
391 Evidence review for the 2016 International Ankle Consortium consensus statement on the
392 prevalence, impact and long-term consequences of lateral ankle sprains. *Br J Sports Med.* 2016
393 [Epub ahead of print].
- 394 6. Houston MN, Van Lunen BL, Hoch MC. Health-related quality of life in individuals with
395 chronic ankle instability. *J Athl Train.* 2014 Nov-Dec;49(6):758-63.
- 396 7. Freeman MA, Dean MR, Hanham IW. The etiology and prevention of functional
397 instability of the foot. *J Bone Joint Surg Br.* 1965;47(4):678-85.
- 398 8. Hertel J. Functional Anatomy, Pathomechanics, and Pathophysiology of Lateral Ankle
399 Instability. *J Athl Train.* 2002;37(4):364-75.
- 400 9. Hubbard-Turner T, Turner MJ. Physical Activity Levels in College Students With
401 Chronic Ankle Instability. *J Athl Train.* 2015;50(7):742-747.

- 402 10. Golditz T, Steib S, Pfeifer K, Uder M, Gelse K, Janka R, et al. Functional ankle
403 instability as a risk factor for osteoarthritis: using T2-mapping to analyze early cartilage
404 degeneration in the ankle joint of young athletes. *Osteoarthr Cartil.* 2014;22(10):1377-85.
- 405 11. Valderrabano V, Hintermann B, Horisberger M, Fung TS. Ligamentous posttraumatic
406 ankle osteoarthritis. *Am J Sports Med.* 2006;34(4):612-20.
- 407 12. Shah S, Thomas AC, Noone JM, Blanchette CM, Wikstrom EA. Incidence and Cost of
408 Ankle Sprains in United States Emergency Departments. *Sports health.* 2016 [Epub ahead of
409 print].
- 410 13. Gribble PA, Delahunt E, Bleakley CM, Caulfield B, Docherty CL, Fong DT, et al.
411 Selection criteria for patients with chronic ankle instability in controlled research: a position
412 statement of the International Ankle Consortium. *J Athl Train.* 2014;49(1):121-7.
- 413 14. Hiller CE, Refshauge KM, Bundy AC, Herbert RD, Kilbreath SL. The Cumberland ankle
414 instability tool: a report of validity and reliability testing. *Arch Phys Med Rehabil.*
415 2006;87(9):1235-41.
- 416 15. Docherty CL, Gansneder BM, Arnold BL, Hurwitz SR. Development and reliability of
417 the ankle instability instrument. *J Athl Train.* 2006;41(2):154-8.
- 418 16. Simon J, Donahue M, Docherty C. Development of the Identification of Functional
419 Ankle Instability (IdFAI). *Foot Ankle Int.* 2012;33(9):755-63.
- 420 17. Carcia CR, Martin RL, Drouin JM. Validity of the Foot and Ankle Ability Measure in
421 athletes with chronic ankle instability. *J Athl Train.* 2008;43(2):179-83.
- 422 18. Hegedus EJ, McDonough S, Bleakley C, Cook CE, Baxter GD. Clinician-friendly lower
423 extremity physical performance measures in athletes: a systematic review of measurement

- 424 properties and correlation with injury, part 1. The tests for knee function including the hop tests.
425 Br J Sports Med. 2015;49(10):642-8.
- 426 19. Zwolski C, Schmitt LC, Thomas S, Hewett TE, Paterno MV. The Utility of Limb
427 Symmetry Indices in Return-to-Sport Assessment in Patients With Bilateral Anterior Cruciate
428 Ligament Reconstruction. Am J Sports Med. 2016;44(8):2030-8.
- 429 20. Witchalls J, Blanch P, Waddington G, Adams R. Intrinsic functional deficits associated
430 with increased risk of ankle injuries: a systematic review with meta-analysis. Br J Sports Med.
431 2012;46(7):515-23.
- 432 21. Munn J, Sullivan SJ, Schneiders AG. Evidence of sensorimotor deficits in functional
433 ankle instability: a systematic review with meta-analysis. J Sci Med Sport. 2010;13(1):2-12.
- 434 22. Arnold BL, De la Motte S, Linens S, Ross SE. Ankle Instability Is Associated with
435 Balance Impairments: A Meta-Analysis. Med Sci Sport Exer. 2009;41(5):1048-62.
- 436 23. Chinn L, Dicharry J, Hertel J. Ankle kinematics of individuals with chronic ankle
437 instability while walking and jogging on a treadmill in shoes. Phys Ther Sport. 2013;14(4):232-
438 9.
- 439 24. Wikstrom EA, Brown CN. Minimum reporting standards for copers in chronic ankle
440 instability research. Sports Med. 2014;44(2):251-68.
- 441 25. Kaminski TW, Hertel J, Amendola N, Docherty CL, Dolan MG, Hopkins JT, et al.
442 National Athletic Trainers' Association position statement: conservative management and
443 prevention of ankle sprains in athletes. J Athl Train. 2013;48(4):528-45.
- 444 26. Moher D, Liberati A, Tetzlaff J, Altman DG. Reprint -- Preferred reporting items for
445 systematic reviews and meta-analyses: the PRISMA statement. The PRISMA Group. Preferred

- 446 reporting items for systematic reviews and meta-analyses: the PRISMA statement. *Phys Ther.*
447 2009;89(9):873-80.
- 448 27. von Elm E, Altman DG, Egger M, Pocock SJ, Gøtzsche PC, Vandenbroucke JP. The
449 Strengthening of Reporting of Observational Studies in Epidemiology (STROBE) statement:
450 guidelines for reporting observational studies. *Epidemiology.* 2007;18(6):800-4.
- 451 28. Jeremy Howick ICJLL, Paul Glasziou, Trish Greenhalgh, Carl Heneghan, Alessandro
452 Liberati, Ivan Moschetti, Bob Phillips HT, Olive Goddard and Mary Hodgkinson. *The Oxford*
453 *2011 Levels of Evidence.* Oxford Centre for Evidence-Based Medicine 2011.
- 454 29. Hedges LV, Olkin I. *Statistical methods for meta-analysis.* Ingram Olkin: Orlando :
455 Academic Press; 1985.
- 456 30. Lipsey MW, Wilson DB. *Practical meta-analysis.* Thousand Oaks, CA US: Sage
457 Publications, Inc; 2001.
- 458 31. Buchanan AS, Docherty CL, Schrader J. Functional performance testing in participants
459 with functional ankle instability and in a healthy control group. *J Athl Train.* 2008;43(4):342-6.
- 460 32. Caffrey E, Docherty CL, Schrader J, Klossner J. The ability of 4 single-limb hopping
461 tests to detect functional performance deficits in individuals with functional ankle instability. *J*
462 *Orthop Sport Phys Ther.* 2009;39(11):799-806.
- 463 33. de Noronha M, Refshauge KM, Kilbreath SL, Crosbie J. Loss of proprioception or motor
464 control is not related to functional ankle instability: an observational study. *Aust J Physiother.*
465 2007;53(3):193-8.
- 466 34. Docherty CL, Arnold BL, Gansneder BM, Hurwitz S, Gieck J. Functional-Performance
467 Deficits in Volunteers With Functional Ankle Instability. *J Athl Train.* 2005;40(1):30-4.

- 468 35. Jerosch J, Thorwesten L, Frebel T, Linnenbecker S. Influence of external stabilizing
469 devices of the ankle on sport-specific capabilities. *Knee Surg Sport Tr A.* 1997;5(1):50-7.
- 470 36. Linens SW, Ross SE, Arnold BL, Gayle R, Pidcoe P. Postural-stability tests that identify
471 individuals with chronic ankle instability. *J Athl Train.* 2014;49(1):15-23.
- 472 37. Sharma N, Sharma A, Singh Sandhu J. Functional performance testing in athletes with
473 functional ankle instability. *Asian J Sports Med.* 2011;2(4):249-58.
- 474 38. Someeh M, Norasteh AA, Daneshmandi H, Asadi A. Influence of Mulligan Ankle Taping
475 on Functional Performance Tests in Healthy Athletes and Athletes With Chronic Ankle
476 Instability. *Int J Athl Ther Train.* 2015;20(1).
- 477 39. Wikstrom EA, Tillman MD, Chmielewski TL, Cauraugh JH, Naugle KE, Borsa PA. Self-
478 assessed disability and functional performance in individuals with and without ankle instability:
479 a case control study. *J Orthop Sports Phys Ther.* 2009;39(6):458-67.
- 480 40. Ko J, Rosen AB, Brown CN. Comparison Between Single and Combined Clinical
481 Postural Stability Tests in Individuals With and Without Chronic Ankle Instability. *Clin*
482 *J Sport Med.* 2017;27(4):394-9.
- 483 41. Hertel J, Braham RA, Hale SA, Olmsted-Kramer LC. Simplifying the star excursion
484 balance test: analyses of subjects with and without chronic ankle instability *J Orthop Sport Phys*
485 *Ther.* 2006;36(3):131-7.
- 486 42. Gribble PA, Hertel J, Denegar CR, Buckley WE. The Effects of Fatigue and Chronic
487 Ankle Instability on Dynamic Postural Control. *J Athl Train.* 2004;39(4):321-9.
- 488 43. Martinez-Ramirez A, Lecumberri P, Gomez M, Izquierdo M. Wavelet analysis based on
489 time-frequency information discriminate chronic ankle instability. *Clin Biomech.*
490 2010;25(3):256-64.

- 491 44. Nakagawa L, Hoffman M. Performance in Static, Dynamic, and Clinical Tests of Postural
492 Control in Individuals with Recurrent Ankle Sprains. *J Sport Rehabil.* 2004;13(3):255-68.
- 493 45. Sefton JM, Hicks-Little CA, Hubbard TJ, Clemens MG, Yengo CM, Koceja DM, et al.
494 Sensorimotor function as a predictor of chronic ankle instability. *Clin Biomech.* 2009;24(5):451-
495 8.
- 496 46. Hadadi M, Mousavi ME, Fardipour S, Vameghi R, Mazaheri M. Effect of soft and
497 semirigid ankle orthoses on Star Excursion Balance Test performance in patients with functional
498 ankle instability. *J Sci Med Sport.* 2014;17(4):430-3.
- 499 47. Olmsted LC, Carcia CR, Hertel J, Shultz SJ. Efficacy of the Star Excursion Balance Tests
500 in Detecting Reach Deficits in Subjects With Chronic Ankle Instability. *J Athl Train.*
501 2002;37(4):501-6.
- 502 48. de la Motte S, Arnold BL, Ross SE. Trunk-rotation differences at maximal reach of the
503 star excursion balance test in participants with chronic ankle instability. *J Athl Train.*
504 2015;50(4):358-365.
- 505 49. Hale SA, Hertel J, Olmsted-Kramer LC. The effect of a 4-week comprehensive
506 rehabilitation program on postural control and lower extremity function in individuals with
507 chronic ankle instability. *J Orthop Sport Phys Ther.* 2007;37(6):303-311.
- 508 50. Hoch MC, Staton GS, Medina McKeon JM, Mattacola CG, McKeon PO. Dorsiflexion
509 and dynamic postural control deficits are present in those with chronic ankle instability. *J Sci*
510 *Med Sport.* 2012;15(6):574-579.
- 511 51. McCann RS, Crossett ID, Terada M, Kosik KB, Bolding BA, Gribble PA. Hip strength
512 and star excursion balance test deficits of patients with chronic ankle instability. *J Sci Med Sport.*
513 2017.

- 514 52. Plante JE, Wikstrom EA. Differences in clinician-oriented outcomes among controls,
515 copers, and chronic ankle instability groups. *Phys Ther Sport*. 2013;14(4):221-226.
- 516 53. Pozzi F, Moffat M, Gutierrez G. Neuromuscular control during performance of a
517 dynamic balance task in subjects with and without ankle instability. *Int J Sport Phys Ther*.
518 2015;10(4):520-529.
- 519 54. Eechaute C, Vaes P, Duquet W. Functional performance deficits in patients with CAI:
520 validity of the multiple hop test. *Clin J Sport Med*. 2008;18(2):124-9.
- 521 55. Eechaute C, Vaes P, Duquet W. The dynamic postural control is impaired in patients with
522 chronic ankle instability: reliability and validity of the multiple hop test. *Clin J Sport Med*.
523 2009;19(2):107-114.
- 524 56. Groeters S, Groen BE, van Cingel R, Duysens J. Double-leg stance and dynamic balance
525 in individuals with functional ankle instability. *Gait Posture*. 2013;38(4):968-973.
- 526 57. Hiller CE, Refshauge KM, Herbert RD, Kilbreath SL. Balance and Recovery From a
527 Perturbation are Impaired in People With Functional Ankle Instability. *Clin J Sport Med*. 2007;
528 17(4): 269-75.
- 529 58. Demeritt KM, Shultz SJ, Docherty CL, Gansneder BM, Perrin DH. Chronic Ankle
530 Instability Does Not Affect Lower Extremity Functional Performance. *J Athl Train*.
531 2002;37(4):507-11.
- 532 59. Docherty CL, Valovich McLeod TC, Shultz SJ. Postural control deficits in participants
533 with functional ankle instability as measured by the balance error scoring system. *Clin*
534 *J Sport Med*. 2006;16(3):203-8.
- 535 60. Palmieri-Smith RM, Hopkins JT, Brown TN. Peroneal activation deficits in persons with
536 functional ankle instability. *Am J Sports Med*. 2009;37(5):982-8.

- 537 61. Yoshida M, Taniguchi K, Katayose M. Analysis of muscle activity and ankle joint
538 movement during the side-hop test. *J Strength Cond Res.* 2011;25(8):2255-64.
- 539 62. Donovan L, Hertel J. A new paradigm for rehabilitation of patients with chronic ankle
540 instability. *Phys Sportsmed.* 2012;40(4):41-51.
- 541 63. Hiller CE, Kilbreath SL, Refshauge KM. Chronic ankle instability: evolution of the
542 model. *J Athl Train.* 2011;46(2):133-41.
- 543 64. Kaminski TW, Buckley BD, Powers ME, Hubbard TJ, Ortiz C. Effect of strength and
544 proprioception training on eversion to inversion strength ratios in subjects with unilateral
545 functional ankle instability. *Br J Sports Med.* 2003;37(5):410-5; discussion 5.
- 546 65. Kaminski TW, Perrin DH, Gansneder BM. Eversion strength analysis of uninjured and
547 functionally unstable ankles. *J Athl Train.* 1999;34(3):239-45.
- 548 66. Wilkerson GB, Pinerola JJ, Caturano RW. Invertor vs. evertor peak torque and power
549 deficiencies associated with lateral ankle ligament injury. *J Orthop Sport Phys Ther.*
550 1997;26(2):78-86.
- 551 67. Ryan L. Mechanical stability, muscle strength and proprioception in the functionally
552 unstable ankle. *Aust J Physiothr.* 1994;40(1):41-7.
- 553 68. Willems T, Witvrouw E, Verstuyft J, Vaes P, De Clercq D. Proprioception and Muscle
554 Strength in Subjects With a History of Ankle Sprains and Chronic Instability. *J Athl Train.*
555 2002;37(4):487-93.
- 556 69. Liu K, Glutting J, Wikstrom E, Gustavsen G, Royer T, Kaminski TW. Examining the
557 diagnostic accuracy of dynamic postural stability measures in differentiating among ankle
558 instability status. *Clin Biomech.* 2013;28(2):211-7.

- 559 70. Brown CN, Ko J, Rosen AB, Hsieh K. Individuals with both perceived ankle instability
560 and mechanical laxity demonstrate dynamic postural stability deficits. *Clin Biomech.*
561 2015;30(10):1170-4.
- 562 71. Wikstrom EA, Tillman MD, Chmielewski TL, Cauraugh JH, Borsa PA. Dynamic
563 postural stability deficits in subjects with self-reported ankle instability. *Med Sci Sports Exerc.*
564 2007;39(3):397-402.
- 565 72. Wikstrom EA, Tillman MD, Chmielewski TL, Cauraugh JH, Naugle KE, Borsa PA.
566 Dynamic postural control but not mechanical stability differs among those with and without
567 chronic ankle instability. *Scand J Med Sci Sports.* 2010;20(1):e137-44.
- 568 73. Gribble PA, Hertel J, Plisky P. Using the Star Excursion Balance Test to assess dynamic
569 postural-control deficits and outcomes in lower extremity injury: a literature and systematic
570 review. *J Athl Train.* 2012;47(3):339-57.
- 571 74. Chimera NJ, Smith CA, Warren M. Injury history, sex, and performance on the
572 functional movement screen and Y balance test. *J Athl Train.* 2015;50(5):475-85.
- 573 75. Kang MH, Lee DK, Park KH, Oh JS. Association of ankle kinematics and performance
574 on the y-balance test with inclinometer measurements on the weight-bearing-lunge test. *J Sport*
575 *Rehabil.* 2015;24(1):62-7.
- 576 76. Brown CN, Bowser B, Orellana A. Dynamic postural stability in females with chronic
577 ankle instability. *Med Sci Sports Exerc.* 2010;42(12):2258-63.
- 578 77. Gabriner ML, Houston MN, Kirby JL, Hoch MC. Contributing factors to star excursion
579 balance test performance in individuals with chronic ankle instability. *Gait Posture.*
580 2015;41(4):912-6.

- 581 78. Drewes LK, McKeon PO, Kerrigan DC, Hertel J. Dorsiflexion deficit during jogging
582 with chronic ankle instability. *J Sci Med Sport*. 2009;12(6):685-7.
- 583 79. Hoch MC, Farwell KE, Gaven SL, Weinhandl JT. Weight-Bearing Dorsiflexion Range of
584 Motion and Landing Biomechanics in Individuals With Chronic Ankle Instability. *J Athl Train*.
585 2015;50(8):833–839.
- 586 80. Wright IC, Neptune RR, van den Bogert AJ, Nigg BM. The influence of foot positioning on
587 ankle sprains. *J Biomech*. 2000;33(5):513-9.
- 588 81. Rosenthal R. The file drawer problem and tolerance for null results. *Psychological*
589 *bulletin*. 1979;86(3):638-641.

590

591