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## Baseline measures are altered in biomechanical studies

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1 **Short Communication**

2 **Baseline measures are altered in biomechanical studies**

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24 **Abstract**

25 The purpose of this investigation was to examine if baseline measures are altered  
26 between conditions in biomechanical studies and to determine the need for baseline  
27 measurements in biomechanics. Ten runners were asked to run at varying speeds and  
28 obstacle heights. Baseline measures were acquired between all conditions. Right lower  
29 extremity kinematic and kinetic data were collected for all baseline trials and evaluated  
30 by both a group and a single subject analysis. The group analysis revealed significant  
31 differences between baselines only for the obstacle perturbation. The single subject  
32 analysis indicated that baseline measures are altered in a greater degree for kinematics  
33 than kinetics. These findings suggested that baseline measures are altered between  
34 conditions in biomechanical studies, and they should be used when a repeated measures  
35 or a single subject experimental design is being utilized.

36

37 **Keywords:** biomechanical experimental designs, baseline measures, obstacle, speed,  
38 locomotion.

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40

## 41 **Introduction**

42 **Paragraph 1.** Often biomechanists measure the average performance within a  
43 group of individuals and generalize this information to a larger population without regard  
44 to how any given individual performed. For example, biomechanists have attempted to  
45 establish the norm for the average runner. Measuring the average performance within a  
46 group of individuals provides information on the distribution of behavior within the  
47 group. Given the methods by which individuals were selected to be in the group, can  
48 provide probability statements about the average performance within the larger  
49 population represented by that group. However, such designs do not provide information  
50 about how any given individual performed or might perform in the future (Bates, 1996;  
51 Dufek, Bates, Stergiou, James, 1995a). This observation coupled with the need in  
52 medicine to evaluate each patient and thus provide an individual with a specific program  
53 for injury prevention or rehabilitation, support the use of Single Subject (SS) designs.  
54 The question of generalizability of the effect for other subjects in the population can then  
55 be approached by succeeding investigations using additional subjects.

56 **Paragraph 2.** Although the usage and need for SS designs in biomechanical  
57 studies has been demonstrated by Bates and colleagues (Dufek et al., 1991, 1995b; Bates,  
58 1996), their work has not addressed the topic of baseline measures. The evaluation and  
59 usage of baseline data between conditions where an independent variable (speed,  
60 footwear, obstacle height, etc.) is manipulated can be critical to the evaluation of  
61 treatment effects (Heward, 1987; Matyas & Greenwood, 1990). Thus, the primary  
62 purpose for establishing baselines is to use the subject's performance in the absence of  
63 the independent variable as an objective basis for evaluating the effects of the

64 independent variable (Heward, 1987; Cooper et al, 1987). In the event that baseline  
65 measures are altered by multiple treatments, the results may need to be normalized using  
66 the baseline data. Thus, a multiple baseline design allows for the examination of the true  
67 treatment effects. In fact, a SS design is not the only experimental method that can  
68 benefit from the usage of baselines. This is also the case in any repeated measures type of  
69 experimental design (Heward, 1987; Kratochwill, 1992). Baseline adjustments have been  
70 used in behavioral studies to assess and account for the cumulative effects of treatment  
71 (Gregory, 2002; Schlosser et al, 1998). However, an extensive review of the available  
72 literature showed that within the biomechanics discipline baseline measurements have not  
73 been used. Therefore, the purpose of our investigation is to examine if baseline measures  
74 are altered between conditions in biomechanical studies and to determine the need for  
75 baseline measures in biomechanics.

76

## 77 **Methods**

78 **Paragraph 3.** Ten, male (N = 6) and female (N = 4), runners who had been  
79 running a minimum of 10 miles per week for at least one year (mean age: 25.9 yr; mean  
80 body mass: 73.45 kg; mean height: 177 cm) ran under two different experimental  
81 settings, obstacle heights and speed changes. Before testing, each subject read and signed  
82 an informed consent form consistent according to university policy.

83 **Paragraph 4.** On both obstacle and speed experimental settings, the subjects  
84 were given time to adjust to the experimental set up. During warm up a comfortable self-  
85 selected pace ( $\pm 5\%$ ) was recorded for each participant. The running speed was monitored  
86 over a 3-meter interval using a photoelectronic timing system (Lafayette Performance

87 Pack model 63520, Lafayette, IN). Following warm up, a foot placement marker was  
88 used before the timed interval to allow for a normal right foot contact on the force  
89 platform. This was done to insure stride length was not changed between trials. During  
90 all trials right lower extremity, sagittal view (200 Hz), kinematic data was collected using  
91 a NEC high-speed video camera interfaced to a real time automated video-based tracking  
92 system (Motion Analysis Corporation, Santa Rosa, CA). Reflective markers were placed  
93 on the subject's right lower extremity to allow for path tracking. Specifically, the sagittal  
94 view markers were placed as follows: a) lateral malleolus, b) knee joint center, and c)  
95 greater trochanter. An Advanced Medical Technologies Inc. (AMTI Model OR6-5-1,  
96 Arlington, VA) force platform (1000 Hz) was used to collect ground reaction forces.

97 **Paragraph 5.** For the speed experimental setting (Figure 1A), the subjects ran at  
98 four different speeds: their comfortable self-selected pace, 10% faster, 10% slower, and  
99 20% faster. For the obstacle experimental setting (Figure 1B), the subjects ran at their  
100 previously established self-selected pace over obstacles of three different heights: 5%,  
101 10% and 15% of their standing height. The obstacles were placed directly before the  
102 force platform so the subject had to clear the obstacle with the right leg and land on the  
103 force platform. The subjects were instructed to run over the obstacles and avoid jumping  
104 over them, ensuring a normal heel-toe running pattern. Each speed and obstacle condition  
105 consisted of 10 trials, and the order of presentation of the conditions was randomized.  
106 Between conditions, 10 trials of unperturbed running were collected as baselines for both  
107 settings (Figure 1). Each trial consisted of a run of approximately forty meters. Data  
108 transfer from the cameras to the computer and the qualitative inspection of the force  
109 curves allowed for a 1 -1.5 minute inter-trial rest interval. All subjects were able to

110 continue this procedure with no fatigue effects while seventy successful data trials per  
111 setting were obtained. The above protocol is presented in detail in Stergiou et al. (1999).  
112 One kinetic variable (vertical Ground Reaction Impact Force; GRIF) and one kinematic  
113 (Minimum absolute Knee Angle during stance; MKA) were identified for all baseline  
114 trials. These two variables were selected because they are widely used in the  
115 biomechanical literature. Means for these variables were generated for each baseline  
116 (Figure 1). Subject means were calculated across trials for each subject, and group means  
117 were calculated across subjects. The baseline group means for GRIF and MKA and from  
118 each experimental setting (speed and obstacle) were analyzed using ANOVA with  
119 repeated measures ( $p < 0.05$ ) with a Tukey test as post-hoc. The baseline subject means for  
120 GRIF and MKA and from each setting were also analyzed with a Single Subject  
121 statistical procedure (Model Statistic; Bates, 1996). In this latter procedure and for each  
122 subject, the difference between two baseline subject means is compared with the product  
123 of the mean standard deviation and a criterion test statistic based on number of trials  
124 (Bates et al., 2004).

125

## 126 **Results**

127 **Paragraph 6.** The ANOVA group analysis revealed mixed results. Specifically,  
128 the results indicated no significant differences between the baseline group means for both  
129 dependent variables in the speed setting (Table 1). However, significant differences were  
130 found in both variables for the obstacle setting indicating an effect on baseline measures.  
131 Post-hoc analysis showed significant differences between the first and the last two

132 baselines in the obstacle setting (Table 1). The location of these differences was the same  
133 for both the kinematic and the kinetic variable.

134 **Paragraph 7.** The Single Subject analysis revealed significant differences not  
135 previously detected by the group analysis. Specifically, the Single Subject comparisons  
136 for the kinematic variable showed that 15% and 30% of all baseline subject means  
137 comparisons were significantly different for the speed and the obstacle settings,  
138 respectively. For the kinetic variable, the results were 13.3% for the speed setting and  
139 18.3% for the obstacle setting. The use of Single Subject analysis revealed further  
140 evidence that baseline measures are altered.

141

## 142 **Discussion**

143 **Paragraph 8.** The goal of this investigation was to examine if baseline measures  
144 are altered between conditions in biomechanical studies and to determine the need for  
145 baseline measures in biomechanics. A kinetic variable (GRIF) and a kinematic variable  
146 (MKA) were chosen as two representative parameters in the biomechanical literature.  
147 Baseline group means indicated no significant differences in the speed setting for either  
148 kinematic or kinetic variables. However, the obstacle setting did show significant  
149 differences in both variables. In fact, significant differences were found between the first  
150 baseline and last two for MKA and GRIF (Table 1), revealing a decreasing trend for both  
151 dependent variables. This suggests an accumulative treatment effect (the varying obstacle  
152 height) that would further support the usage of baselines in repeated measures designs in  
153 biomechanics. The fact that baselines were influenced differently in the two independent  
154 variables (speed and obstacle) maybe due to the biomechanical differences between



155 changing running speed and running over obstacles. Experimental studies (Farley et al.  
156 1993) showed that leg compliance is not much influenced by running speed (especially if  
157 the speed range is quite small, as the case with the current study). To cope with  
158 obstacles, in contrast, larger flight phases could be achieved by a more compliant leg  
159 operation during stance (Farley and Gonzalez, 1996) as indicated by an increased amount  
160 of leg shortening (larger knee flexion).

161       **Paragraph 9.** The results of the Single Subject comparisons indicated significant  
162 differences for both dependent variables (GRIF and MKA) and settings (speed and  
163 obstacle). Obstacle perturbation had a larger treatment effect than speed. This was  
164 evident by the larger number of baseline subject means comparisons being significantly  
165 different (Table 2). Furthermore, the Single Subject analysis showed that this effect was  
166 more likely to occur for the kinematic variable (Table 2). Single Subject analysis  
167 revealed differences that may have been ignored without its use. Previously, in the group  
168 analysis, significant differences were not found in the speed setting. With the use of  
169 Single Subject analysis such differences became evident. These findings further support  
170 that baselines are altered between treatments and there is a need for baseline  
171 measurements in biomechanics.

172       **Paragraph 10.** In summary, when a repeated measures design is being used in  
173 biomechanical studies, baseline measures should be incorporated. This should be the  
174 case in both group and Single Subject designs and especially in designs when kinematics  
175 parameters are used as dependent variables. The present study found that only the  
176 obstacle heights during locomotion could generate a larger treatment effect, which  
177 warrants the need for addressing the effects of other perturbations on baseline

178 measurements in future studies. Furthermore, future studies should also examine  
179 additional dependent variables besides the two used in this study (MKA and GRIF). In  
180 conclusion, these findings suggest that baseline measures are altered between conditions  
181 and they should be used in biomechanical studies, when a repeated measures or a single  
182 subject experimental design is being utilized.  
183

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- 221

222 **Figure Captions**

223

224 Figure 1. The experimental protocol used in the Speed (A) and the Obstacle (B)  
225 experimental settings. Each baseline consisted of 10 trials of unperturbed running. Each  
226 experimental condition (obstacle and speed) consisted of 10 trials. The total number of  
227 trials for each setting was 70 trials.

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232 **Figure 1**

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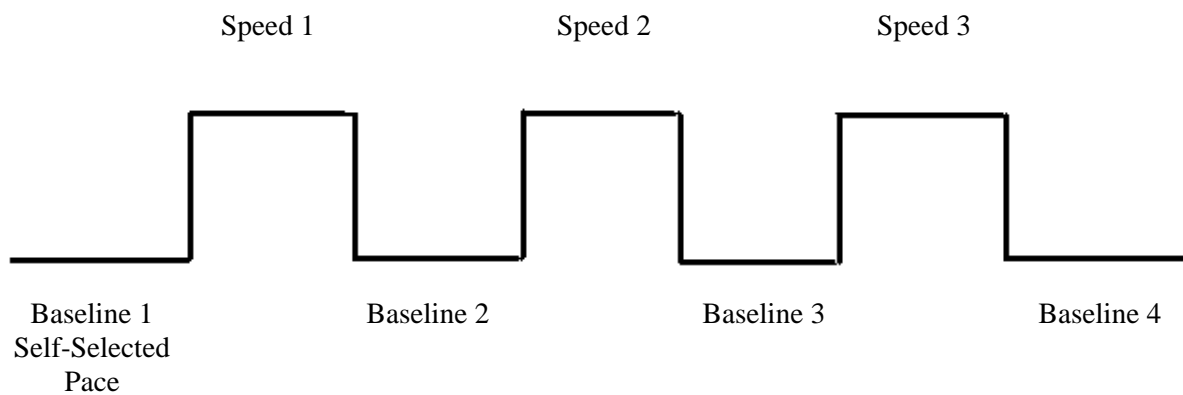
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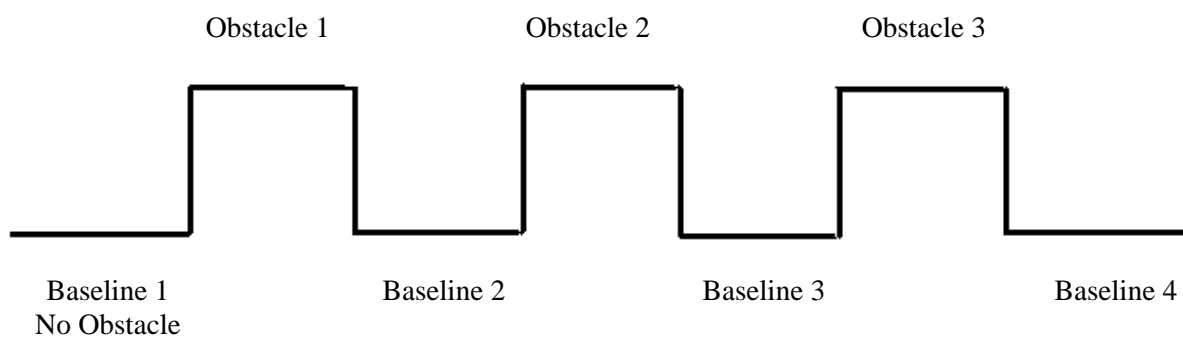
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**Table 1**

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Table 1: Baseline group means and standard deviations evaluated with superscripts indicating post-hoc significant differences ( $p < 0.05$ ). Note that post-hoc comparisons revealed significant differences in the obstacle setting between the first and third baselines, as well as, between the first and fourth.

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	Speed		Obstacle	
	MKA (deg)	GRIF (N)	MKA (deg)	GRIF (N)
Baseline 1:	138.6±2.8	1.756±0.4	139.95±2.9 <sup>base3, base4</sup>	1.813±0.3 <sup>base3, base4</sup>
Baseline 2:	138.2±2.7	1.767±0.4	138.94±2.8	1.745±0.3
Baseline 3:	137.9±2.9	1.713±0.3	138.82±2.8	1.709±0.3
Baseline 4:	138.3±2.8	1.749±0.3	138.75±2.8	1.703±0.3

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**Table 2**

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Table 2: Single Subject results presented as percentages of baseline subject means comparisons that were found significantly different ( $p < 0.05$ ). Note that a larger percentage of baseline subject means comparisons were found significant in the obstacle setting (5% GRIF more than the speed setting) and the effect was even larger for the kinematic variable (15% MKA than the speed setting).

	<b>Speed</b>		<b>Obstacle</b>	
	<b>MKA</b>	<b>GRIF</b>	<b>MKA</b>	<b>GRIF</b>
Percentage	15%	13.30%	30%	18.30%

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