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Proactive Weaponry Planning: A Systemic Policy Formulation Model for Law Enforcement Agencies

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PROACTIVE WEAPONRY PLANNING: A SYSTEMIC POLICY FORMULATION MODEL FOR LAW ENFORCEMENT AGENCIES

A Thesis
Presented to the
Department of Criminal Justice
and the
Faculty of the Graduate College
University of Nebraska at Omaha

In Partial Fulfillment
of the Requirements for the Degree
Master of Arts

by
Kevin Parsons
February 1976
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Abstract

PROACTIVE WEAPONRY PLANNING: A SYSTEMIC POLICY FORMULATION MODEL FOR LAW ENFORCEMENT AGENCIES deals with the process through which taxonomies of criteria may be developed to designate effective weaponry to be utilized in a variety of law enforcement confrontations. The study conceptualizes current academic and technical formulation methodologies (classic and reactive weaponry planning). An alternative paradigm termed proactive weaponry planning (PWP) in which criteria definition predates weaponry analysis is then postulated.

Proactive weaponry planning is a five-phase open systems perspective which initially incorporates a delineated agency role model based upon extra-agency and inter-departmental multiple access channels of communication. Weaponry criteria are seen as contingent upon confrontational needs which are in turn a factor of the derived agency role model. The initial three phases impact in a linear systemic flow upon specified weapons and their analysis.

It is the initial triad within the model which forms the thrust of the analysis, for it is within this segment of the paradigm that significant and far-reaching policy decisions are formulated. Paramilitaristic uniform patrol is employed as an exemplar to illustrate the planning methodology. The treatise concludes with discussion of investigation priorities essential to precise
definitions of law enforcement confrontational needs and proposes additional typologies for examination in light of the proactive methodology.
THESIS ACCEPTANCE

Accepted for the faculty of The Graduate College of the University of Nebraska at Omaha, in partial fulfillment of the requirements for the degree Master of Arts.

Graduate Committee

Name  

Department

Name  

Department

Chairman  

Date
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Proactive research in the field of weaponry policy formulation is as embryonic as the discipline of criminal justice to which it applies. Credit for immense assistance in the initial conceptualization of this project must be given Dr. Vince Webb, Chairman, Department of Criminal Justice, University of Nebraska at Omaha.

Information regarding specific areas of contention within the spectrum of law enforcement weaponry planning would not have been possible but for the kind assistance of manufacturers and authorities too numerous to credit here, but cited in reference. Special acknowledgment is afforded Major E. J. Land, Command Center, United States Marine Corps, whose personal contacts and broad knowledge of weaponry utilization served as a constant data source throughout the project period.

Numerous local, state, and federal agencies including the Los Angeles Police Department, the Delaware State Police (especially Lieutenant Valvert Fox), the Federal Bureau of Investigation, United States Drug Enforcement Agency, and United States Secret Service provided essential background data.

Impetus to review the historical evolution of American law enforcement in an attempt to derive agency role models must be credit Dr. Samuel Walker. The incorporation of organization
perspectives as a vital component of proactive weaponry planning is a result of assistance from Dr. William Clute.

Consultation with personnel through the United States, correspondence with agencies and manufacturers regarding problem areas, and data retrieval from diverse national sources was made possible through a fellowship from the Law Enforcement Assistance Administration.
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CHAPTER I

PROBLEM STATEMENT

The first years of this decade served to accentuate the results of unthinking, untrained men reacting to situations of stress. Media coverage and commission studies have dramatically emphasized the need to establish theoretical criteria bases to be utilized when planning for and dealing with social confrontations.

The dilemma is especially acute in the area of law enforcement weaponry systems. Policy makers are not certain of the purpose of police armament. Is weaponry a last resort means of self-protection, an alternative to assure the safety of citizens or a method of punishing criminals? The absence of such fundamental conceptual bases leads to haphazard and often impractical solutions to perceived, yet unverified problems.

Abstracts for the selection and evaluation of law enforcement weaponry systems based upon the specific types of behavioral confrontations in which enforcement agencies participate have failed to materialize. The absence of such essential typologies is attributable to the traditional methodologies employed by researchers.

This study seeks to delineate two traditional weaponry planning methodologies (classic and reactive weaponry planning) and conceptualize an alternative stratagem (proactive weaponry planning). The postulated conceptualization is intended to yield weaponry...
criteria based upon confrontational needs which are in turn contingent upon a delineated agency role model.

The alternative format is termed Proactive Weaponry Planning (PWP) as criteria definition predates weapon analysis. PWP is contrasted with a reactive format which allows fabrication of criteria to "fit" existing armament. In addition to the immediate benefits of an integrated, systemized evaluation process for determining criteria prior to weapon acquisition, the proposed proactive planning function necessitates agency role model delineation. Such conceptualization is conducive to improved planning throughout all segments of a specified agency.

This work deals with the process through which taxonomies of criteria may be developed to designate effective weaponry which may be utilized in a variety of law enforcement confrontations. Confrontations are here defined as encounters in which police officers may distribute situationally justified force. Armament employed in such encounters may range from less lethal chemical agents, through impact instruments to lethal firearms. The effectiveness of any weapon in a confrontation is a function of the particular weapon, the officer, the subject with whom he is dealing, and the minimum degree of force necessary to implement control.

\textbf{Classical Weaponry Planning}

A traditional research format with regard to weaponry selection has been to (a) establish what weaponry is currently in use by law enforcement agencies in the United States and (b) to determine by case studies how effective this specific weaponry has been in
specified types of behavioral confrontations. From these data investigators hoped to establish criteria for the selection and evaluation of weaponry by individual departments (see Figure 1). The procedure has proven totally unsuccessful.

At the present time, information regarding the characteristics of existing armament or the effectiveness of present weaponry systems is not available on a quantified national basis. The current dilemma was best summarized by Professor Allen P. Bristow (1975) in a personal letter to the author.

Unfortunately, there are not studies which document in detail the conditions or armament of participants in police fire fights. I attempted to do this in my original research (1960), but was unable to obtain support.

In 1973, Bristow attempted to analyze one specific area of law enforcement armament. His text, The Search for an Effective Police Handgun, is the most complete analysis of its type of date. However, the work neglects a number of significant areas of police sidearm development. Because of its limited scope, the treatise fails to examine a variety of additional police weaponry categories.

This author's own efforts to secure quantifiable data regarding one specific category of armament (the type, caliber, and features of handguns sold to law enforcement agencies during the past five, ten, fifteen, and twenty years) also proved futile. The three principal American manufacturers, Colt, Ruger and Smith and Wesson, indicated that this type of information was "not available." The problem stems as much from marketing characteristics of the firms involved as from reluctance to release information of this
Figure 1. Classical weaponry planning.
type to the public. Mr. Bob Bolling (1975) of Colt's Patent Firearms outlined the situation:

I could have a distributor somewhere selling some department and never know it. We don't bid direct from the factory. For example, the city of Compton, California bought 102 .45's last year from my distributor in Torrance, California. I only heard about it after the fact as they move thousands of automatics and a hundred or so is not remarkable.

It is unlikely that such quantifiable information regarding the types of weapons currently in use by American law enforcement agencies will become available in the near future. The nature of such statistical data is so vast and dynamic that a yearly, updated, computerized format with mandatory reply procedure would be required.

Phase Two of the classic research procedure, case studies of the effectiveness of specific weapons in selected confrontations, has also proven unworkable. Allen Bristow (1963) pioneered research in this neglected area of analysis. Unfortunately, his California-based study included only 110 incidents involving 150 officers who had been shot during a three-year period between 1959 and 1961. A review of the officer fatality statistics of the Federal Bureau of Investigation for the same three years (Hoover, 1959; 1960; 1961) accentuates the limited scope of the Bristow thesis.

The Police Casualty Series of the International Association of Chiefs of Police; the FBI report, A Summary Analysis of Law Enforcement Officers Killed 1964-1973; and the Chapman, Swanson, and Meyer report, A Descriptive Profile of the Assault Incident (1974) represent additional attempts to quantify the effectiveness of present weaponry systems in a variety of behavioral confrontations. Although well executed, they lack the broad scope of incidence
variables and detailed analysis of specific confrontations necessary for meaningful analysis upon which to base policy decisions.

As with weaponry usage figures, detailed national analysis regarding the effectiveness of armament categories in specified behavioral confrontations is unlikely. A computerized collection service similar to the Federal Bureau of Investigation's Uniform Crime Report could provide a great deal of vital data. However, a five- to ten-year period of analysis would be necessary to formulate meaningful national trends. The problems inherent in the UCR's self-reporting system would be compounded when dealing with weaponry incidence analysis.

While the traditional format for delineation of weaponry criteria has proven ineffective, the conceptual mandates they attempt to derive remain essential. Because of the lack of realistic criteria standards, hardware that fails to meet the confrontational needs of user agencies and the public they serve has proliferated.

Reactive Weaponry Planning

A wide variety of weaponry is purchased each year by law enforcement agencies. The preponderance of hardware acquisition that characterized the early years of LEAA grant requests provides a dramatic example. The trend is understandable if of questionable virtue. Weaponry is a highly visible, popular (among departmental personnel), and readily quantifiable entity. Short-term acquisition goals, "To modernize the patrol division by equipping each officer with a .357 Magnum revolver by December 30," are readily obtainable given adequate funding. Performance standards, "To reduce the
incidence of confrontational overreaction 2% by December 30," are more difficult to achieve or measure effectively. Media and general public attention are more readily focused upon spectacular hardware demonstrations than mundane statistical indices of incremental progress.

Departmental weaponry purchases have a profound effect upon the agency, its personnel, subjects with whom they deal, and the public. Weaponry is an effective measure of agency professionalization. Those departments which have a sound cognition of their service-peacekeeping role are unimpressed with the fads, gimmicks, or artificial standards that sway their less astute counterparts.

The distinction between a service-oriented peace officer and paramilitaristic law enforcer is critical. Officers trained and armed as an assault force will be perceived and react as aggressors rather than protectors. The effect of role distinction upon subjects is illustrated by the New York City Police Department's Crisis Intervention Unit. Perception of a police officer as threatening or supportive is a critical determinant of subject response.

The necessity of weaponry in twentieth century law enforcement is not questioned. For the purposes of this study, it is assumed that police weaponry is a tactical necessity in the modern American context. The discussion concerns the base line criteria upon which weaponry selection is contingent. In response to the classic school of weaponry planning, current trends are in the realm of reactive analysis.
Reactive weaponry planning concerns the application of artificial standards to existing weaponry in an attempt to delineate confrontational criteria (see Figure 2). Technical performance criteria are the most common and fallacious. For example: There is little question that the Smith & Wesson model 29 is an extremely well tuned, accurate, and dependable handgun. However, there is a critical question regarding the need for .44 Magnum revolvers in a law enforcement context. What is technically acceptable is not necessarily prudent in a given operational framework.

A second type of artificial standard is inappropriate criteria. Departments which adopt a specific type of rapid revolver reloading device because it will "not break when dropped on cement" have committed such a logical fallacy. The significant question is whether or not there is a need for speedloading devices in a law enforcement setting. If such a need exists, criteria should be speed, ease of reloading, and reliability of insertion, not an artificial standard of indestructibility.

A third type of fallacious standard is the insufficient criteria characteristic of manufacturers. Blatant examples come from light-weight, high-velocity projectile proponents. Jacketed Hollow Point (JHP) and Jacketed Soft Point (JSP) ammunition will theoretically increase stopping power, reduce ricochet potential, and decrease the danger of penetration. However, a wide variety of situational contexts and utilization factors decrease the potential for such performance. Additional analysis regarding lack of expansion, inadequate penetration, and social reaction to employment must
Figure 2. Reactive weaponry planning.
be conducted. Departments adopting such loadings upon the basis of manufacturers' recommendations and peer agency popularity are initiating policy with far-reaching implications on the basis of insufficient criteria.

In extreme cases departments base weaponry policy upon non-existent criteria. The popularity of ballistic armor among small and medium-sized police departments is a case in point. Mega agency emulation in the purchase of equipment is sophomoric and short sighted. The commitment of valuable societal resources without proper evaluation or cognition of individual departmental needs, while lauded by manufacturers, is totally unacceptable in a public agency context.

The classic school of weaponry planning is impractical given current data bases which cannot delineate current weapon usage or effectiveness in specified confrontations. A comprehensive case study approach to determine effectiveness would be cost prohibitive, given the diversification of significant variables. Selective studies of specific weaponry classifications would be at best arbitrary, at worst prejudicial. The diversification of relevant precipitative confrontational factors demands a more cosmopolitan perspective.

The classic school also suffers from lack of an adequate agency role conceptualization base; i.e., general service, peace-keeping, or enforcement. The model does employ a feedback loop. Criteria for the selection of weaponry based upon past performance will affect future weaponry design.
The reactive model of weaponry planning incorporates no feedback loop. Armament is evaluated against technical, inappropriate, insufficient, and often nonexistent criteria. Once "analyzed," a category of utility is constructed. The major weakness of reactive planning is that confrontational criteria are arranged to fit armament instead of evaluating weaponry in terms of the confrontational context. No attempt is made to analyze an agency's basic role.

Proactive Weaponry Planning

A need exists to develop a taxonomy of weaponry criteria based upon dimensions of the behavioral confrontations in which law enforcement officers are engaged. The typology must be proactive with weaponry measured against specific behavioral criteria which are in turn based upon a specified agency role (see Figure 3). The analysis must be based upon predefined confrontive needs as distinct from reactive planning in which confrontational criteria are defined as a result of weaponry analysis. Feedback and modification must be viewed as not only desirable, but vital to survival of the system.

Delineation of agency role which affects confrontational needs and thus weaponry criteria is based upon a multiplicity of extra-agency and intra-departmental forces; i.e., city council, unions, fraternal organizations, employee groups, courts, prosecutors, professional organizations such as the International Association of Chiefs of Police, pressure and interest groups, funding
Figure 3. Proactive weaponry planning.
organizations, subunit organizational goals (both staff and line), the media, the mayor and/or city manager, specialized client groups, Civil Service Commission, the agency funding body, and outside consultants.

Proactive weaponry planning is an open systems model with a strong agency role conceptualization. It delineates weaponry criteria from a theoretical base of confrontational needs. The taxonomy of criteria precedes examination of specific weaponry. Analysis based upon confrontational needs thus feeds back and modifies the specific weaponry types, but not the criteria base which is altered through redefinition of the agency role.

As a model to illustrate this type of proactive weaponry planning, the most common area of law enforcement activity, uniform patrol, will be examined. The analysis will focus upon the types of questions that must be asked and the types of research that must be conducted if ill-conceived priorities and shortsighted planning are to be avoided.

Methodology

A technical evaluation of existing law enforcement armament is beyond the scope and intent of this work. There is little debate in law enforcement circles concerning the need for effective, impartial, ongoing weaponry evaluation programs. There is less agreement upon the type of evaluation to be conducted or the criteria against which weaponry will be assessed.

Usage and function criteria for evaluation of specific weaponry systems (classic model) are within the purview of an agency
oriented test facility such as the Weapons Data Service of the International Association of Chiefs of Police. Studies of specialized armament areas conducted by individuals and departments also fall within purview of the traditional evaluative model and are unacceptable from a planning perspective.

Adherence to manufacturing specifications and the establishment of general technical criteria for a variety of equipment categories is presently being monitored by the Law Enforcement Standards Laboratory of the National Bureau of Standards. Additional technical evaluation is available from independent, impartial research facilities in the private sector such as the H. P. White Laboratory. Centralized technical specifications are obtainable from agencies such as the Sporting Arms and Ammunition Manufacturers Institute.

Unfortunately, such technical evaluations from a reactive weaponry planning basis leave questions of a far more basic nature than design specification control and mechanical functionality unanswered. A weapons system may be technically acceptable and yet of limited utility in specified behavioral confrontations. Chemical agent DM is a case in point.

The proactive planning model is a process which may be employed to establish taxonomies of criteria for the selection and evaluation of law enforcement weaponry systems that have proven impossible through the classic or reactive methodologies of weaponry researchers. Far from a technical treatise, the PWP policy formulation paradigm is a theoretical construct which may be applied to weaponry regardless of mechanical, conceptual, or functional design.
The initial project phase discusses the process of delineation of a generalized agency role model for the uniform patrol function. Because of the cosmopolitan perspective of this research, the significance of open system inputs that precipitate agency role formulation is reviewed.

Delineation of confrontational needs of the uniform patrol function is discussed in Phase Two. Types of training received and activities in which officers may be engaged are discussed. A survey of past research is conducted in an attempt to construct a national perspective of tactical considerations (types and frequency of confrontation by time, geographic area, population group, and agency organizational structure) that must be analyzed. Personal characteristics (race, sex, education, background, height, and psychological makeup of officers and subjects involved in specific types of encounters) are also considered. From this analysis the process of specific confrontational needs based upon a generalized agency role model is outlined.

Based upon the preceding data, Phase Three discusses the process of delineating weaponry criteria based upon confrontational needs. To impart a sense of order to the discussion, considerations are grouped under five basic weaponry categories common to the uniform patrol function. The mechanistic process of analyzing individual products in terms of the proactive weaponry planning model (Phases Four and Five) is left to individual agencies. It is the initial three phases of the model with which this study is concerned. The final dyadic segments are essentially cyclical phenomena. It is
within the preliminary triad of the weaponry planning format that significant and far-reaching policy decisions are made. It is in the delineation of these initial phases that the concept of proactive weaponry planning (PWP) significantly departs from classic and reactive methodologies. It is here that an open systems perspective based upon strong theoretical foundations may significantly impact upon planning and policy formulation.

The final chapter of this study presents points of departure and proposes two categories of weaponry research. Initial discussion concerns investigative priorities essential to precise definition of confrontational needs. The section explores the type of data that is essential to proper formulation of Phase Two PWP delineations. The second portion of the agenda presents additional confrontational typologies for examination in light of the proactive methodology. Civilian uniform patrol, agent and undercover investigation, civil disturbance control, executive protection, and anti-sniper operations are discussed.
Egon Bittner in his articulate analysis of the functions of police in modern society typified the law enforcement role as "nothing else than a mechanism for the distribution of situationally justified force in society." He went on to point out:

It is possible, certainly not unthinkably, that at some time policemen may be able to compel the desired outcome of any problem without ever resorting to physical force. But it appears that in the existing structure of communal life in our society such force is not wholly avoidable. This being the case, not only its avoidance, but its employment must be methodically normalized (Bittner, 1970).

Formulation of the normative policies that Bittner spoke of is beyond the intent and scope of this treatise. Further, the value of such normalization is debatable without prior delineation of the type suggested in this work. Two points are significant. Initially, the types of weapons employed will have a dramatic impact upon the normative pronouncements needed. If automatic weapons are not issued to personnel, normative constraint upon their contextual use may be avoided. Second, the pronouncement of regulative norms may have unexpected precipitative effects.

Harrison and Pepitone (1972) concluded that the frequency of punishment through weaponry is partially a function of the range of punitive power of the weapons being carried. In their study
regarding the use of riot batons by officers carrying firearms, but forbidden to employ them and officers not carrying weapons, the researchers found that individuals forbidden weapon usage tend to compensate by applying sanctioned arms with increased lethality. The issuance of prohibited weaponry in addition to approved armament functions as a scale anchor, causing the use agent to underestimate the punishment he is delivering while justifying to himself the use of increased punishment.

It would seem far more prudent to initially determine the confrontational needs of enforcement personnel, then issue appropriate armament. Issuance of a myriad of weaponry with contextual utilization contingent upon a variety of normative conditions which may be obscure to even the issuing agent is undesirable. The application of such norms requires a degree of analysis not often possible in the heat of a confrontational encounter.

The preliminary delineation phase of proactive weaponry planning involves conceptualization of a generalized agency role model from an open systems perspective. The approach invokes a cosmopolitan perspective (Gouldner, 1957). While Gouldner's analysis dealt with latent social roles in an educational context, his conclusions are here extrapolated to include law enforcement personnel.

Local and state police organizations are extremely divergent with regard to agency policy, degree of professionalization, and organizational structure, i.e., goals, effectiveness, size, complexity, formalization, power, conflict, leadership, communication, decision-making processes, environments, and susceptibility to change
Construction of individual agency role models (for small, medium, and large police departments, sheriff's departments, and state police agencies) would be superficial and of marginal utility. Instead, "cosmopolitan license" has been taken in this work. The effort is directed at distillation of generalized role models to be employed as "ideal types" in much the same manner as Max Weber's (1947) concept of an idealized bureaucracy. If the "ideal" agency role model is non-existent as a pure form in the real world, it must be remembered that the presentation is only of an ideal. The formulation is of utility merely as a means of conceptualizing proactive weaponry policy. Nonexistence of a pure form should not be construed as a negation of the central thrust of this work. The idealization is merely a delineated concept upon which to base future decisions.

Phase One--Delineation of Agency Role Model

James Q. Wilson in his perceptive work, Varieties of Police Behavior--The Management of Law and Order in Eight Communities, distinguished three principal law enforcement styles. When the operational code of a department, with regard to situations that do not involve serious crime, revolves around order maintenance (rather than law enforcement) as the principal function, Wilson terms the agency of a "watchman style."

What is the defining characteristic of the patrolman's role thus becomes the style or strategy of the department as a whole because it is reinforced by the attitudes and policies of the police administrator (Wilson, 1968, 140).
The "legalistic style" encompasses comparison of observed behavior with a legal standard and the invocation of arrest if the legal standard has been violated. Law enforcement rather than order maintenance is emphasized in this style of agency behavior. The third style in Wilson's agency-orientation triad is termed "service":

The police take seriously all requests for either law enforcement or order maintenance (unlike police with a watchman style) but are less likely to respond by making an arrest or otherwise imposing formal sanctions (unlike police with a legalistic style). The police intervene frequently, but not formally (Wilson, 1968, 200).

In his analysis of the history of urban police in the United States, James F. Richardson (1974) made a similar distinction between agency role models of law enforcement, service, and peacekeeping. Richardson's contention that policemen "spend most of their active duty time in service and peacekeeping pursuits," is consistent with the analysis of Neiderhoffer (1967). Perhaps discrepancies between the traditional police role as an objective, impartial law enforcer (idealized in police academies) and the practical reality of field procedures (McNamara, 1967) precipitates the incidence of cynicism revealed by Neiderhoffer (1967).

Because proactive weaponry planning employs an open systems perspective, it is appropriate to examine the factors influencing formation of a generalized agency role model whether it be watchman (peacekeeping), legalistic (law enforcement), or service. While societal, geographic, and ecological variables will certainly impact upon the generalized role model, they do not possess the significance of what may be termed multiple access channels of communication (Golembiewski, 1972). These variables are defined as external
sources of influence which do not follow the traditional bureau-
cratic chain of command. Major access channels impacting upon the
proactive weaponry planning function are included to provide more
adequate understanding of role model formation.

Local police agencies receive planning inputs from city
councils while regional law enforcement agencies such as sheriff's
departments may acquire this type of influence communication from
supervisory boards. State agencies and federal authorities receive
similar inputs from legislatures. The baseline point of commonality
inherent in all communication is the presence of political overtones
(partisan or not).

The growth and impact of unions upon public sector agency
planning was well documented and effectively analyzed by Pursley
(1973). Direct influence may be invoked, i.e., specific equipment
demands such as the procurement of revolver speed reloading devices
under the heading of safety equipment by California communities
(Griffis, 1975). In addition, budget increases brought about
through union demands may significantly reduce allocations avail-
able for equipment purchases. The phenomenon is especially acute
in the public sector where funding resources are relatively stable.

The strength of fraternal organizations and employee groups
was well illustrated by the issue of a Civilian Review Board in New
York City. The NYPD Patrolmen's Benevolent Association documented
its power base with rejection of the board concept during a public
referendum (Niederhoffer, 1967, 181-190). The potential of such
employee groups to effect change within the weaponry planning context
is illustrated by agency compliance to issuance demands for lightweight ballistic armor presented by patrol personnel in numerous communities (Perkins, 1975).

The influence of court personnel is a major determinant of agency roles and thus of weaponry procurement policy. The legality of jacketed hollow point (JHP) and jacketed soft point (JSP) ammunition remains a major issue. Opinions by district attorneys concerning the utilization of equipment ranging from aluminum flashlights (Keller, 1975) to chemical agents (Nelson, 1975) impact significantly upon procurement standards of individual agencies.

Influence of professional organizations such as the International Association of Chiefs of Police and the National Sheriff's Association, both direct (testing and evaluation, dissemination of data regarding weaponry typologies, and recommendations concerning acceptable usage techniques) and indirect (establishment of professional standards) may inspire formulation of agency role models.

Pressure and interest groups such as the American Civil Liberties Union (ACLU), National Rifle Association (NRA), and National Association for the Advancement of Colored People (NAACP) affect both procurement and usage policies. Lobbies provide indirect influence, specific actions; i.e., protests and legal suits provide direct input, and programmatic measures such as the Certified Firearms Instructor program and training classes of the National Rifle Association influence policy formulation and equipment standards.

Major funding impact upon law enforcement agencies in recent years has come from the Law Enforcement Assistance Administration (LEAA) through State Planning Agencies (SPAs). The proliferation
of weaponry-related requests during initial funding phases was as much a function of poor program conceptualization on the part of LEAA as inadequate realization of organizational deficiencies by participating agencies. Regional, state, and local funding organizations continue to affect agency role model formation.

Specialized goals of organizational subunits while part of the agency context are often divergent from general institutional pronouncements (Etzioni, 1960). Preeminence of Special Weapons and Tactics Teams, a concept pioneered by the Los Angeles Police Department (1974) and since emulated by agencies throughout the United States, offers an excellent case in point. The weaponry planning function, while ideally compatible with general organizational goals, may be significantly altered by specialized divisions.

Media coverage (or lack of coverage) has traditionally influenced the entire police planning function. A desire to improve the public image of departments (the police community relations movement) and to draw upon favorable media coverage whenever possible, has produced a preoccupation with weaponry. Armament is an extremely sensational phase of law enforcement activity. Spectacular demonstrations of firepower attract more public interest and thus media coverage than statistical indices regarding program revision, personnel improvement, or agency reorganization.

The input of auxiliary staff units (planning and research or testing and evaluation) should theoretically have significant impact upon role model definition and subsequent formation of weaponry policy. Organizational problems inherent in the bureaucratic line
and staff dichotomy (Dalton, 1959) has often led to jurisdictional disputes within law enforcement agencies. The rejection of staff recommendations by line personnel and general resentment of an invasion of territorial prerogatives has resulted in generally poor role conceptualizations. Weaponry planning, classic or reactive, has therefore lacked coherence.

Input of elected mayors and appointed city managers may involve organizational directives which precipitate policy formation. General administrative attitudes will affect the type and quality of policing demanded in a given community. Such indirect influences will dramatically impact upon the formulation of a generalized agency role model (Hamilton, 1975).

In much the same manner, specialized client groups (down-town merchants) may indirectly influence the police posture of a given community. Preoccupation with order maintenance (watchman style) and strict law enforcement especially with regard to traffic and parking (legalistic style), as opposed to service may affect the shopping characteristics of citizens. Negative influence factors resulting from a particular policing style will normally feed back from such client groups through legislative bodies (city councils) and executive branches (mayor or city manager).

Despite the observation of Felix Nigro (1972) that the impact of Civil Service Commissions has diminished in recent years, their input into the formulation of a generalized agency role model must be included in any comprehensive analysis. Traditional commission postures have favored the legalistic stylization (an
objective, impartial enforcer of existing laws) as the agency ideal. Bureaupathology (Thompson, 1961) is facilitated, structured, and reinforced by the status quo preoccupation of Civil Service Commissions. Perhaps it is a major contributory factor to the dichotomy between organizational ideal and agency practice discussed by McNamara (1967) and leads to a high degree of cynicism (Niederhoffer, 1967). Both factors are counterproductive to establishment of realistic agency role models.

A police commission or governing board offers direct role input through policy formulation. As a supervisory agency, their role is theoretically of critical significance. In reality, impact may be drastically reduced through competition with other governmental agencies; bargaining with suppliers, consumers, and other organizations; co-optation of threats to stability or existence (Selznick, 1966); and coalition with other organizations, i.e., law enforcement, public service, or nonassociative (Thompson & McEwen, 1958). Internal goal change may come about as a result of displacement (Etzioni, 1964) or what Bertram Cross (1968) labels "number magic," i.e., moves to become more competitive and thus receive a larger share of the public budget due to quantitative or qualitative increases.

The finance department or bureau charged with budget formulation may exercise control ranging from general supervision of budget appropriations in a line-item format, through performance budgeting, to input regarding the value of program objectives in a
Planning, Programming and Budgeting System (PPBS) (Henry, 1975, 159-170).

Impact of outside consultants upon the policy formulation of a law enforcement agency is often dependent upon receptivity of administrative personnel (Cooper, 1975). Detailed data analysis such as the Kansas City Preventive Patrol Experiment (Kelling, Pate, Dieckman & Brown, 1974) may tend to indicate a need for significant alteration of agency activities that would ultimately lead to redefinition of agency role models. Actual organizational impact is subject to additional constraints.

Manufacturers, especially within a weaponry context, significantly influence the role of particular agencies by the type of armament that is developed and marketed. There is a vast role distinction implicit in the purchase of police "clubs" (an offensive instrument of aggression) by one agency (Anderson, 1975) and the purchase of police "batons" (a defensive instrument of control) by another (Pederson, 1975).

Professional publications affect the type of role seen as appropriate in a modern social context through explicit editorial statements and implicit programmatic activities, i.e., media outputs and acceptance or rejection of articles.

Educational institutions are the last major classification of multiple-access communication channels. The attempt to significantly influence agency role model formation was a basic precept of August Vollmer. It continues through LEAA impetus in the development
of college and university criminal justice programs (Chamelin, Fox, & Whisenand, 1975, 305).

The emphasis upon multiple-access channels of communication in the formation of a generalized agency role model should not be construed as a negation of internal organizational impacts. The significance of inter-departmental inputs is taken as a given. The emphasis upon external input channels in the open systems perspective of proactive weaponry planning merely recognizes the area of greatest potential for formulative influence.

It is the contention of this author that recognition and disposition of the multiplicity of precipitative variables which impact upon formulation of agency role models is imperative. Poor perception of the factors which affect this initial phase of the proactive weaponry process negates the concept of a "planning" function. The procedure would thus be relegated to a disjointed, inarticulate movement categorized by Lindblom (1959) as "muddling through."

Phase Two--Delineation of Confrontational Needs

Delineation of project model dimensions, in this case confrontational needs of the uniform patrol function, is dependent upon four major consideration categories. Each category (training and education received, activities in which engaged, tactical constraints, and personnel considerations) is in turn contingent upon a generalized agency role model. The purpose is to develop a scheme for weaponry evaluation based upon a knowledge of the context in
which they will be employed. What types of training and education do enforcement personnel receive? In what capacities that mandate weaponry use are they likely to be engaged? What are the tactical constraints (geographic, population group, time of day, and deployment structure) within which they must function? Are there personal factors (race, sex, age, background, height, and build) of patrol officers and persons with whom they will have contact that may affect the outcome of a given confrontation?

The preeminent shortcoming associated with any analysis of confrontational needs stems from the situation specificity of a confrontational context. For this reason, little meaningful data analysis has been conducted in this area. The general spectrum of confrontational research is fertile for methodologically sound statistical analysis. The implications of computerized confrontational simulations based upon a JUSSIM-type format are intriguing in this respect.

Training and Education

It was Egon Bittner's astute perception that "it must be made clear as unambiguously as possible . . . education does matter in police work" (1970, 83). To this end the American Bar Association's Institute of Judicial Administration delineated a basic dichotomy between training and education:

Training programs should be designed, both in their content and in their format, so that the knowledge that is conveyed and the skills that are developed relate directly to the knowledge and skills that are required of a police officer on the job. Educational programs that are developed primarily for
police officers should be designed to provide an officer with a broad knowledge of human behavior, social problems and the democratic process (Institute of Judicial Administration, 1972).

A question exists regarding the effect of training and education upon performance of the police function, whatever the agency role model (McNamara, 1967). Perhaps there is a distinct police personality upon which education can have no effect. A cluster of factors (F Scale characteristics of conventionalism, authoritarian submission, authoritarian aggression, anti-intraception, stereotyping, power and "toughness," destructiveness, cynicism, projectivity, and exaggerated concern with sexual "goings on") are popularly categorized as making up a "police personality" typified by suspicion, conventionality, cynicism, prejudice, and distrust of the unusual (Buckner, 1967; Skolnick, 1967).

Robert Balch's scholarly analysis of available data regarding the existence of a particular "police personality" type led him to conclude:

The devotion of social scientists to the personality model has obscured the important role that organizational factors play in shaping police behavior. Attracting better people to the same old job is not necessarily an improvement. In the case of police work, it may simply mean that college graduates will be "busting heads" instead of high school dropouts (Balch, 1972).

Police training and education (even since implementation of mandated training acts) are extremely divergent in depth and scope of subject matter (Parker, 1949; Los Angeles Police Department, 1974; Fox, 1975; Land, 1975). Accepting Balch's contention that a specific personality type is not attracted to police work nor produced as a result of
academy training, the ability of police education to influence behavior remains subject to question. Niederhoffer's observation (1967) that the New York Police Academy, rated second only to the FBI National Academy, had minimal influence upon the confrontational conduct of officers is enlightening in this regard. More significant than training and education are the organizational variables of a particular agency. This is not to say that education and training have no impact. It is merely a realization that when staff ideal conflicts with line principle, the organizational structure of police agencies is such that line principles prevail.

Two remedial alternatives seem plausible if training and educational ideals are truly desirable to administrative personnel: (a) increase organizational power of the staff function or (b) decrease the socializing power of the line function by restructuring the organization through techniques such as lateral entry and team policing (Sherman, Milton, & Kelly, 1973).

**Patrol Activities**

Few statistical indices exist regarding the types of activities engaged in by patrol personnel. General data regarding the nature of patrol work are available from classic texts such as Wilson and McLaren (1963) and Payton (1967).

The pioneering work of Albert Reiss is noteworthy in its attempt to understand "how police and citizens related to one another in the policing of everyday life" (Reiss, 1971). Analysis grew out of the author's 1963-1964 work in Detroit and was expanded in 1965
upon request of the National Crime Commission. Through participant observation three ideal types of police command were viewed: traditional-ethnic occupational (Boston), modern-bureaucratic (Chicago), and professionalizing-modernized (Washington, D.C.). Although a total of 5,360 encounters was recorded, data remain of limited scope. The general nature of observations is of little utility from the perspective of confrontational analysis and weapon policy formation.

Individual agency analyses such as those conducted by RAND Corporation for the New York City Police Department (1969) are too organization specific, especially with regard to mega agencies, to be of generalized weaponry-planning utility.

A detailed indication of the actual activities performed by officers and the amount of time spent in each was provided by data resulting from the Kansas City Preventive Patrol Experiment (Kelling, Pate, Dieckman, & Brown, 1974). Due to the study's thrust, information released thus far has been in the general category of the amount of time engaged in job-related and non-job-related activities.

In-depth review of 114 confrontations in thirty-seven south central municipalities led Samuel Chapman and his associates at the University of Oklahoma to a descriptive profile of the assault incident (Chapman, Swanson, & Meyer, 1974). A distribution by officer assignment (see Table 1) revealed that 86.4% of the occurrences were among officers assigned to some form of patrol. The high incidence of officers assigned to the patrol function may partially explain the distribution. The low incidence of assault (4.6%) among detective
and vice work agents, two functions traditionally considered extremely
dangerous within the police occupation, raises a significant ques-
tion. Is there a factor or group of factors peculiar to the patrol
function that are precipitants of assault incidents? Perhaps the
answer rests in the type of activities performed, tactical considera-
tions involving place of activity occurrence, or in personnel con-
siderations affecting the selection and socialization process for
detective and uniform patrol officers.

Table 1

Distribution of Assault Incidents by Officer
Assignment—1973

<table>
<thead>
<tr>
<th>Assignment</th>
<th>Number</th>
<th>Percent</th>
</tr>
</thead>
<tbody>
<tr>
<td>Auto patrol</td>
<td>895</td>
<td>78.4</td>
</tr>
<tr>
<td>Traffic patrol</td>
<td>79</td>
<td>6.9</td>
</tr>
<tr>
<td>Foot patrol</td>
<td>12</td>
<td>1.1</td>
</tr>
<tr>
<td>Vice, detective</td>
<td>53</td>
<td>4.6</td>
</tr>
<tr>
<td>Jail</td>
<td>41</td>
<td>3.6</td>
</tr>
<tr>
<td>Juvenile</td>
<td>1</td>
<td>.1</td>
</tr>
<tr>
<td>Other</td>
<td>60</td>
<td>5.3</td>
</tr>
<tr>
<td><strong>Total</strong></td>
<td><strong>1141</strong></td>
<td><strong>100.0</strong></td>
</tr>
</tbody>
</table>

Source: Chapman, Swanson, & Meyer, 1974, 29.
No attempt is made in this paper to analyze the multiplicity of variables that may precipitate violent confrontations with enforcement personnel (Hale & Wilson, 1974; Kieselhorst, 1974; Meyer, Swanson, Hale, & Regens, 1974; Morrison & Hale, 1974; Swanson & Hale, 1974). There is little question that detailed statistical analysis of police assault incidents should be conducted (Heller, Chapman, Kieselhorst, & Meyer, 1974; Morrison & Meyer, 1974; Regens, Meyer, Swanson, & Chapman, 1974). While methodologies exist (Chapman, Meyer, & Swanson, 1974), such exploration is beyond the scope of this work.

Summaries regarding the most dangerous segments of the police function are available on a yearly basis from the Federal Bureau of Investigation (Kelley, 1974). Annual summaries of law enforcement officers killed (Federal Bureau of Investigation, undated) and supplementary analyses of personnel fatalities over extended periods (Federal Bureau of Investigation, undated) are also available.

Table 2 reflects a numerical breakdown of the number of officers killed in the United States by type of activity from 1969 through 1973. Clearly the most hazardous activities for working officers are robberies in progress, arrests, disturbance calls, and traffic pursuits. These situations are most often encountered by personnel engaged in paramilitaristic uniform patrol. While more detailed indices would be desirable, the general realization that uniform patrol per se is statistically the most dangerous phase of police work is assistive. The data further substantiate the types of confrontations which present the greatest lethal potential within
the police operation. Generalized as it may be, the information is sufficient to place essential parameters upon a proactive weaponry planning function.

Table 2
Law Enforcement Officers Killed by Type of Activity
1969-1973

<table>
<thead>
<tr>
<th>Confrontation</th>
<th>Officers Killed</th>
</tr>
</thead>
<tbody>
<tr>
<td>Robberies in progress or pursuing robbery suspects</td>
<td>118</td>
</tr>
<tr>
<td>Attempting other arrests (excluding robbery and burglary)</td>
<td>113</td>
</tr>
<tr>
<td>Responding to &quot;disturbance&quot; call (family quarrels, man with gun)</td>
<td>73</td>
</tr>
<tr>
<td>Traffic pursuits and stops</td>
<td>73</td>
</tr>
<tr>
<td>Burglaries in progress or pursuing burglary suspects</td>
<td>39</td>
</tr>
<tr>
<td>Investigating suspicious persons and circumstances</td>
<td>35</td>
</tr>
<tr>
<td>Ambush (entrapment and premeditation)</td>
<td>31</td>
</tr>
<tr>
<td>Ambush (unprovoked attack)</td>
<td>25</td>
</tr>
<tr>
<td>Handling, transporting, custody of prisoners</td>
<td>21</td>
</tr>
<tr>
<td>Mentally deranged</td>
<td>18</td>
</tr>
<tr>
<td>Civil disorders (mass disobedience, riot, etc.)</td>
<td>5</td>
</tr>
<tr>
<td><strong>Total</strong></td>
<td><strong>551</strong></td>
</tr>
</tbody>
</table>

Source: Federal Bureau of Investigation, undated, 11.
Any attempt to further generalize available findings must be conducted with an awareness that confrontations are situation specific and have a pronounced temporal perspective.

Tactical Constraints

Four tactical considerations are seen as significant with regard to their potential effects upon a proactive weaponry planning function. The first, geographic area of patrol, includes not only the region, state, city, and specific district, but a breakdown by type of location in which confrontations may occur, i.e., street or highway, private residence, commercial premise, private club, open area, school or college, hotel, motel, recreation facility (Chapman, Swanson, & Meyer, 1974, 28).

A second tactical constraint exists in regard to population groups with which officers will have contact. Related in substance is the time and light conditions during which such contacts may occur. A preoccupation with weaponry designed for daylight usage would be contrary to the tenets of proactive weaponry planning if the armament might be employed during low-light conditions.

The final tactical constraint category relates to agency deployment structure. Department decisions regarding one man or two man, foot, motor, or automobile patrol are mandated. Patrol density and backup potential must be considered. Proactive weaponry planning is contingent upon such alternatives; i.e., agents operating in crowded urban areas will have basic concerns regarding firearms security not present in a rural context.
Personnel Considerations

The final dimension of uniform patrol to be considered in a PWP format concerns the personal characteristics of officers and the subjects with whom they are likely to have contact. The race, sex, age, background, height, and build of both agent and suspect must be examined. In addition, the officer's rank, tenure, and training and the employment status, combat skill, weapon access, and involvement with alcohol or drugs of potential assailants must be considered.

There is little question of the value to be gained through sophisticated data collection and cross-correlation of types and qualities of training and education received by enforcement personnel; activities engaged in during the patrol function; tactical constraints involving geographic, population, time, and deployment variables; and personal considerations regarding both enforcement personnel and probable confrontational subjects. As discussed in Chapter I, such methodology is more consistent with the classic weaponry planning methodology. There is serious question regarding the feasibility of such analysis on a scope broad enough to be of proactive value with detail sufficient enough to be of planning utility.

Because confrontations are often situation and temporal specific, the proactive weaponry planning methodology has been advanced. Delineated in this chapter have been the types of questions that must be analyzed by any agency engaged in such an armament planning format.
The process of defining a generalized agency role model and specifying confrontational needs was outlined.

Chapter III analyzes a procedure for determining weaponry criteria based upon the derived confrontational foundations.
CHAPTER III

PHASE THREE--DELINEATION OF WEAPONRY CRITERIA

The Kansas City Preventive Patrol Experiment (Kelling, Pate, Dieckman, & Brown, 1974) and its subsequent discussion by Davis and Knowles (1975), McNamara (1975), Murphy (1975), Kelling and Pate (1975), and Brown (1975) has given cause to question the deterrence factor of traditional paramilitaristic uniform patrol. Despite the study, proactive supervision of American cities utilizing a military-based uniform and organization model is institutionalized in twentieth century law enforcement.

A debate regarding the deterrence potential of proactive uniformed patrol will be left to other authors. The attempt here is to illustrate a weaponry policy paradigm applied to what has been termed the cornerstone of American law enforcement (Wilson, 1963). No advocacy position is attempted. It is due to the prevalence of paramilitaristic uniform patrol that the traditional posture has been employed as an exemplar of the proactive weaponry planning methodology.

The initial phase of proactive weaponry planning, as outlined in Chapter II, consisted of agency determination of a role model. Wilson's typologies (1968) of legalistic, order maintenance, and service were presented as "ideal types." Agency identification was
seen as a distillation of societal, geographic, and ecological variables combined with interdepartmental inputs. However, preeminent influence potential was afforded multiple-access channels of communication such as legislative bodies, unions, fraternal organizations, court officials, professional organizations, pressure and interest groups, funding organizations, organizational subunits, media, staff personnel, mayors and city managers, special client groups, civil service commissions, police commissions, budget formulation agencies, outside consultants, manufacturers, professional journals, and educational institutions. All are external sources of influence which affect the role formation, but do not follow the defined chain of command.

Phase Two of the PWP process involved a delineation of confrontational needs dependent upon dimensions of the uniform patrol function. Training and education received, activities in which engaged, tactical constraints, and personal considerations of officers and the subjects with whom they deal were examined in an attempt to gain perspective regarding the possible confrontational contexts of uniform patrol.

The central thrust of Phase Three is a determination of weaponry criteria based upon defined confrontational needs. Approximately six hundred letters of inquiry were dispatched between January 1 and June 30, 1975. From the correspondence received, five critical areas of contention regarding weaponry were categorized. The subfields of armament study (sidearms, holsters and leather, longarms, impact weapons, and chemical agents) are utilized to
illustrate the process of defining weaponry criteria. Each is based
upon confrontational needs which were in turn derived from a general-
ized agency role model, influenced to a major extent by multiple-
access channels of communication.

**Sidearms**

The process of selecting handguns for uniform patrol officers
(Bristow, 1973) centers around determination of situations in which
the use of a firearm is justified. Such decisions are a function of
the type of confrontation in which officers are expected to be
engaged, which will in turn be based upon a generalized agency role
model.

Once decisions regarding confrontational context have been
derived (Phase Two of the proactive weaponry planning model), more
specific questions may be logically constructed. Within the estab-
lished situational parameters is there a problem of stopping power
(Hatcher, 1927, 1935a, 1935b; Cooper, 1961, 1973; Canon, 1974;
DiMaio, Jones, & Petty, 1973; DiMaio, Jones, & Caruth, 1974; DiMaio,
1975; Grennell & Williams, 1972; Parsons, 1974; Applegate, 1975;
Sestok, 1975), penetration (Canon, 1975; Kopsch, 1975; MBAssociates,
1975; Sestok, 1975; Turcus, 1968, 1969), ricochet (Jurras, 1975), or
weapon controlability (Amber, 1973; Kelly, 1975)? If so, the caliber
of individual weapons to be selected (Barnes, 1972; Sporting Arms
and Ammunition Manufacturer's Institute, 1975) will become a factor.

Is firepower, i.e., the lapsed time discharge potential of
an arm, a consideration in the defined confrontational context? If
so, a decision concerning revolvers (Keith, 1961; Jordan, 1970;
Applegate, 1975) versus semiautomatic pistols (1974) must be resolved with regard to safety, handling characteristics, and functional reliability.

Decisions regarding frame size (Green, 1973; Jinks, 1975; Vogel, 1975), barrel length (Davison & Severson, undated; Weston, 1968, 1970; Roberts & Bristow, 1969), and barrel weight (Abreus, Kirsch, & Smith, 1975) affect not only performance standards during combat, but more significant, will impact upon officer fatigue and departmental image.

As mentioned in Chapter II, the sighting system of law enforcement firearms must be consistent with agent function if the tenets of proactive weaponry planning are to be preserved. Should defined confrontational needs include low-light usage in a service context (see Table 3), high-visibility night sights (Caswell Equipment Co., 1975; Cresap, 1975; Fox, undated) are imperative. This is the essence of a PWP model. A service typology would negate acceptability of a paramilitaristic law enforcement sighting policy, i.e., "instinct fire" in the "general direction" of a "perceived target."

A similar format (role model formulation, confrontational need, delineation of criteria) must be adhered to regarding standards for weaponry involved in daylight confrontations (McGivern, 1938).

Items as seemingly inconsequential as handgun grip (Fitz, 1975, Fox, 1975; Herrett, 1975; Jay Scott, 1975; Lomax, 1975; Tyler, 1975; Vogel, 1975), trigger, trigger guard (Theodore, 1975), and
Table 3
Law Enforcement Officers Slain/Most Dangerous Hours
1964/1973

<table>
<thead>
<tr>
<th>Time Span</th>
<th>Number of Officers Killed</th>
</tr>
</thead>
<tbody>
<tr>
<td>10-11 PM</td>
<td>74</td>
</tr>
<tr>
<td>*1-2 AM</td>
<td>72</td>
</tr>
<tr>
<td>*11-Midnight</td>
<td>62</td>
</tr>
<tr>
<td>*2-3 AM</td>
<td>56</td>
</tr>
<tr>
<td>*Midnight-1 AM</td>
<td>49</td>
</tr>
<tr>
<td>*9-10 PM</td>
<td>48</td>
</tr>
<tr>
<td>+8-9 PM</td>
<td>45</td>
</tr>
<tr>
<td>+5-6 PM</td>
<td>43</td>
</tr>
<tr>
<td>+7-8 PM</td>
<td>37</td>
</tr>
<tr>
<td>4-5 PM</td>
<td>34</td>
</tr>
<tr>
<td>6-7 PM</td>
<td>32</td>
</tr>
<tr>
<td>10-11 AM</td>
<td>30</td>
</tr>
<tr>
<td>11-Noon</td>
<td>30</td>
</tr>
<tr>
<td>*3-4 AM</td>
<td>29</td>
</tr>
<tr>
<td>3-4 PM</td>
<td>29</td>
</tr>
<tr>
<td>Noon-1 PM</td>
<td>27</td>
</tr>
<tr>
<td>1-2 PM</td>
<td>27</td>
</tr>
<tr>
<td>2-3 PM</td>
<td>26</td>
</tr>
<tr>
<td>8-9 AM</td>
<td>22</td>
</tr>
<tr>
<td>+4-5 AM</td>
<td>22</td>
</tr>
<tr>
<td>9-10 AM</td>
<td>20</td>
</tr>
<tr>
<td>+6-7 AM</td>
<td>14</td>
</tr>
<tr>
<td>+5-6 AM</td>
<td>13</td>
</tr>
<tr>
<td>7-8 AM</td>
<td>9</td>
</tr>
<tr>
<td><strong>Total</strong></td>
<td><strong>850</strong></td>
</tr>
</tbody>
</table>

*Dark hours of the day.

+Low-light or dark hours of the day contingent upon time of year and region: 66% killed in low-light or dark; 46% killed in dark.

Note: While the Uniform Crime Report lists 858 officers killed between 1964 and 1973, only 850 deaths are recorded by hour of day.

Source: Kelley, 1973, 44.
hammer design stylization profoundly affect specific modes of combat performance. In much the same manner, construction material (Carpenter Technology Corporation, 1973) and finish (Armoloy, 1975; Cooper, 1974; Gould Engineering, 1975; Maguire, 1975) alter sustained aim fire hit probability, an essential variable within the urban context. Ignorance of such precipitative factors may increase the danger of misplaced projectiles during patrol confrontations. Such items are insignificant so long as agency role remains unimportant. When model definition is attempted, a proactive weaponry planning format must be employed if agent performance and organizational objective are to remain compatible.

Holsters and Leather

A legalistic-order maintenance role model is facilitated by pronounced weapon conspicuity (Bianchi, 1975). The service paradigm favors a low visibility (Safariland, 1975) or concealed mode (Theodore, 1975). The purpose of patrol leather, a function of this role distinction, will suggest equipment norms, i.e., accessibility (Hume, 1975; Safety Speed, 1975; Sparks, 1975), security (Berns-Martin, 1971; Alpha Plastics, 1975; Bianchi, 1975; J. M. Bucheimer, 1975; Hoyt, 1975; Shearer, 1975; Smith & Wesson Leather Products, 1975; Triple K, 1975), and serviceability (Land, 1975). Features including weapon retention (positive, mechanical, spring, or friction), position, drop, sight protection, and lining impact upon departmental image and officer fatigue as well as performance potential. A similar condition exists with regard to material, design, and construction of
the equipment belt, handcuff case, and baton ring. A service role is incompatible with paramilitaristic weaponry.

Revolver reloading systems (loop, dump, linear, and circular) offer an excellent illustration of the proactive planning function. Rapid reloading of police handguns was facilitated by weapon design revisions (Nonte, 1975; Smith, 1969; Smith, 1973). A variety of "speed loading" devices (Friedman, 1975; Safariland, 1975; Dade Screw Machine Products, 1975; Matich, 1975; HKS Tool Products Co., 1975; Second Six, 1975) have been marketed in recent years.

The assumption relating to each design centers upon the need to maximize firepower in uniform patrol encounter. But, is there a need for the rapid reloading of sidearms within a law enforcement context? Determination must relate to established confrontational needs (PWP Phase Two) which are ultimately dependent upon a delineated agency role model (PWP Phase One).

Before debates regarding the advantages of mechanical versus nonmechanical systems may be conducted, it must be established that uniform patrol personnel will or should be engaged within situations that mandate maximized reloading potential. Should such conditions exist, evaluations of material, height, diameter, means of cartridge retention, amount of compulsory grip modification, release procedure, jam potential, cartridge retention when dropped, and dependence upon gravity become germane. Rarely are such base line distinctions, i.e., role model, considered.
Long Arms

The astute perception by David Steele (undated) that law enforcement justification for use of submachine guns should be restricted to extreme high-level security protection illustrates an early example of proactive weaponry planning methodology. Steele contended that existing law enforcement role models did not incorporate confrontational needs that would justify weaponry criteria mandating automatic armament. The conceptualization is significant if meaningful strides in weaponry planning strategy are to be taken.

Selection of 12 gauge pump action shotguns as preeminent uniform patrol long arms (Applegate, 1969; Robinson, 1973) has seldom been founded upon such deductive formulations. Initial determination regarding the purpose of long arms in a patrol context must be resolved contingent upon confrontational needs and a defined role model. Are long arms to fulfill a confrontational void with regard to deterrence, firepower, penetration, and hit probability? If so, requirements of safety (disconnection), handling (length), and function (recoil, magazine capacity, sights, and finish) must be considered.

It remains essential that confrontational need criteria be defined independent of and prior to individual weapon evaluation (PWP Phase Five). Deviation from the linear planning format creates opportunities to manufacture criteria based upon existing product attributes.

Selection of long arm ammunition is a function of conflict need defined from a role model and is therefore illustrated.
Penetration (Applegate, 1970; Miller, 1973; Robinson, 1973; McMahon, 1975; Interarms, 1975) and stopping power are considered essential to the law enforcement role. An order maintenance orientation may seek less lethal alternatives (Day, 1973; McCawley, 1974; Penguin, 1975; MBAssociates, 1975; Federal, 1975; Aircraft Armament Incorporated, 1975). The service model may totally reject use of long arms such as the 12 gauge shotgun within patrol encounters (Colt's Patent Firearms, 1975; Gwinn, 1975; Miller, 1975; Vogel, 1975). Each need criterion is ultimately derived from a distinct role typology.

Impact Weapons

The logistics of police confrontations often preclude use of deadly force, while presenting control situations beyond the scope of unarmed defensive tactics. The purpose of impact weapons within the uniform patrol function must be initially determined, i.e., two-hand defensive instrument of control (Applegate, 1964; Koga & Nelson, 1968; Kubota & McCaul, 1972), one-hand offensive implement of aggression, or alternative to deadly force.

Oriental impact arms such as the yawara (Gluck, 1962; Moynahan, 1963; Matsuyama, 1969; St. Denise, 1964; Keller, undated; Monadnock, 1968), nunchaku (Demura, 1971; Kaneshiro, 1971; Wortley, 1972; Hiroshi, 1974; Phillips, 1972; Verycken & Hess, 1972; Sakagami, undated), and tanjo (Draeger & Smith, 1969; Hatsumi & Chambers, 1971; Saito, 1973) have traditionally been received with greatest favor by service agencies. Military derivations such as chemical batons (Collett, 1972), electrified nightsticks (Bartel, 1972), and
truncheon-firearm combinations (MBAssociates, 1975) have found greater acceptance with legalistic or order maintenance departments.

It is the purpose of proactive weaponry planning to make such compatibility a matter of determined choice from a variety of recognized alternatives. Too often selection has been the result of technical, inappropriate, insufficient, or nonexistent considerations (classic weaponry planning).

**Chemical Agents**

Solid, micropulverized, and liquid chemicals may be disseminated by means of liquid expulsion, fog, and pyrotechnic devices (Crockett, undated). The burning of granulated chemicals to induce vaporization (pyrotechnic) is uncommon in delivery systems routinely carried by uniform officers. The use of hot gases to vaporize a chemical formulation is generally confined to civil disturbance control equipment.

Until the late 1960's, micropulverized chemical agents were often dispensed by means of expulsion (Swearengen, 1966). The 1968 Gun Control Act banned the importation, production, or sale of any tear gas device capable of chambering and firing a shotshell or metallic ammunition (Department of the Treasury, 1969).

At approximately the same time aerosol chemical dispersion units were gaining wide acceptance among police departments. Liquid dissemination systems were silent, more precise, and of larger capacity than expulsion devices. A major leader in the field was General Ordnance Equipment Corporation.
GOEC Chemical Mace³ less-lethal products have had a signif-
cant impact upon law enforcement weaponry thought (Smith & Wesson
Chemical Co., undated). Extensive testing has been conducted regard-
ing the short-range and long-term effects of Mace³ type formulations
(General Ordnance Equipment Corporation, undated; International
Association of Chiefs of Police, undated).

Despite wide acceptance, the desirability of general chemi-
cal agent issuance is subject to question from a proactive weaponry
planning perspective. The base line question remains: What are the
criteria based upon confrontational needs, compatible with delineated
role model, which must be developed for chemical weapons? To issue
armament without pursuing such a methodology may contribute to the
popularity of an unacceptable weaponry alternative.

The third chapter illustrates questions which must be pro-
posed during the proactive weaponry planning process of delineating
weaponry criteria based upon confrontational needs. Phase Three in
a five-step linear format, the function encompasses the final unit
of PWP conceptualization. It concludes the most significant portion
of proactive methodology.

Phase Four and Phase Five of PWP include technical schema
which incorporate their own feedback loop. The final phases consti-
tute a self-contained mechanical process. The feedback loop affects
Phases Four and Five, while excluding the initial triad. Examples
of the function will therefore not be provided as a portion of this
study.
It is within the initial three phases of role definition, confrontational need delineation, and weapon criteria determination that proactive planning holds the greatest change potential. The major thrust of this work has been directed toward such a conceptualization.

The possible impact of proactive weaponry planning will be discussed in Chapter IV. A research agenda of investigative priorities essential to precise definition of confrontational needs will be proposed. Additional law enforcement typologies suitable for weaponry definition in light of the proactive methodology conclude the analysis.
CHAPTER IV

POINTS OF DEPARTURE

The proactive weaponry planning methodology precipitates weapon analysis based upon criteria derived from confrontational needs which are compatible with delineated agency role models. It fosters weaponry selection compatible with the theorized function of officers who will employ the arms.

Thorough PWP analysis would reduce the potential for armament to indiscriminately negate the model image an agency seeks to attain. The process does not assure role-weapon compatibility. Nor does it assume the naive stand that models exist in pure form. James Q. Wilson's triad (1968), tempered by Richardson's historical perspective (1974), is presented as an ideal type. It provides an abstracted base from which to work.

At its best, proactive weaponry planning offers a precise, articulate, reviewable methodology from which to construct responsible policy decisions. It obsoletes both classic and reactive planning typologies while incorporating an open systems academic perspective into a traditionally closed, technical environment.

The greatest deficiency with regard to weaponry study rests within the purview of Phase Two. While it is enlightening to possess a defined agency role model, additional data could be facilitative.
Research is necessary regarding the actual combat needs of law enforcement personnel. Little quantifiable information exists concerning the type, quantity, and quality of training and education received by enforcement officers. More significant, little is known of its effect. Is it education, the individual's personality, or the organizational structure and environment in which he must function which is preeminent in the influence of his actions?

Few statistical indices exist with respect to activities engaged in during performance of the patrol function. Less is known regarding the multitude of circumstances that exist within a time span known popularly as the "assault incident." Rudimentary measures resulting from FBI Uniform Crime Reports are of marginal value in a planning context.

Detailed analysis concerning geographic areas of confrontation, assaultive subgroups, time and light conditions, and agency deployment structures are conspicuously absent. Additional data regarding the personal characteristics of assaulted officers and their assailants are needed on a national basis. Once assembled, data from the four basic areas of confrontational need should be subjected to sophisticated statistical analysis. Yet, a major question exists with respect to reliability of self-reported assaultive incidents taken from enforcement agents. A number of organizational variables could affect accuracy as well as incidence of reporting. Participant observation introduces a Hawthorne (Mayo, 1933; Roethlisberger & Dickson, 1939) variable while client surveys in the vein of NORC (President's Commission, 1968) and the National
Crime Panel (1975) are suspect by the very nature of police confrontational subject bias.

Awareness of the need for more precise Phase Two research should not be taken as an indication of classic model advocacy. The proactive methodology remains superior from a planning viewpoint. Rather, the search for more explicit second phase measures indicates a cognition regarding the need for improved Phase Three criteria delineation. The ultimate objective is to facilitate more effective weaponry analysis in Phase Five.

The paramilitaristic uniform patrol function has been employed through explication of the proactive weapon planning model. As indicated in initial chapters, the position was one of expediency rather than advocacy. Traditional uniform patrol is simply the most typical law enforcement posture. However, the weaponry functions of at least six additional enforcement typologies lend themselves to proactive analysis:

1. Civilian Uniform Patrol--The Lakewood, Colorado, model of traditional patrol utilizing a blazer style uniform.
2. Agent Investigation--The detective or "plain clothes" division which performs investigative functions of an agency.
3. Undercover Investigation--Infiltration activities, typically involving drug enforcement and organized crime control.
4. Civil Disturbance Control--The humane regulation of large numbers of citizens in an anarchical context.
5. Executive Protection--The proactive and reactive self-defense of domestic and foreign dignitaries.

6. Anti-Sniper Operations--The isolation and control of barricaded suspects in a criminal justice (versus military) context.

A number of academics will in all probability cling to the classic weaponry planning methodology in much the same manner that practitioners will evidence continued allegiance to the reactive function. Maturation of research regarding second phase confrontational needs may be gradual. Application of the schema to additional confrontation typologies will necessitate agency education and introspection.

Through delineation of two traditional weaponry planning methodologies (classic and reactive weaponry planning), this study has sought to conceptualize current academic and technical trends in weaponry policy analysis. An alternative typology termed proactive weaponry planning was then postulated in which criteria definition predated weaponry analysis. Weaponry criteria were based upon confrontational needs which were in turn contingent upon a delineated agency role model. While antecedent variables impacting upon agency role model formulation (Phase One) were discussed, the mechanistic process of analyzing individual products in terms of the proactive weaponry planning model (Phases Four and Five) was left to individual agencies.

It must be underscored that the initial three PWP model phases offer a precise, articulate, reviewable methodology from which to construct reasonable policy decisions. The final dyadic segments are
essentially cyclical phenomena with their own feedback loop. It is within the preliminary triad of the weaponry planning format that significant and far-reaching policy decisions may be made. Of fundamental importance in this stratagem is delineation of an agency role model, a conceptualization which is conducive to improved planning throughout all segments of a specified agency.

If acceptance of proactive weaponry planning is less than universal, the same may hopefully be said for rejection. As Egon Bittner (1970) so articulately observed, "in our society . . . force is not wholly avoidable. This being the case, not only its avoidance, but its employment must be methodically normalized." Consistent with an open systems perspective, dependent upon a defined agency role model . . . proactive weaponry planning is a viable methodology for such normalization.
FOOTNOTES

1See, for example, The President's Commission on Law Enforcement and Administration of Justice, The challenge of crime in a free society (1967), and the American Bar Association's Comparative analysis of standards and goals of the National Advisory Commission on Criminal Justice Standards and Goals with standards for criminal justice of the American Bar Association (1973).

2General information of this type became available as a result of the LEAA Police Equipment Survey (Bergsman, Bunten, & Klaus, 1973). The survey methodology was too general to serve as a basis for policy decisions.

3See, for example, Evaluation of selected aerosol irritant projector formulations (undated), Steele (undated), and Crockett (1969).

4See, for example, Swearengen (1966), Applegate (1969), Jorday (1970), Truby (1972), Sagalyn (1972), Robinson (1973), and Shearer (1973).

5The scope and publications of the Law Enforcement Standards Laboratory since its inception have been limited. In 1975, the organization completed the most extensive analysis of handgun ammunition since Hatcher's work in 1935.

6H. P. White Laboratory was in charge of the handgun endurance tests for the 1968 Gun Control Act.

7The Sporting Arms and Ammunition Manufacturers Institute establishes pressure levels and tolerances for all American cartridges and arms. It was through their efforts that the +P high-pressure cartridge designation was adopted.

8Chemical agent DM (color code green--Diphenylamine Chlorarsine) is commonly referred to as "Sick Gas." DM proved extremely effective in dispersing large crowds, but caused extreme (24 hour) nausea, loosening of the bowels, and in some cases death. What is technically feasible may prove totally impractical (Jones, 1970).
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