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1 **KNEE BRACES CAN DECREASE TIBIAL ROTATION DURING PIVOTING**
2 **THAT OCCURS IN HIGH DEMANDING ACTIVITIES**

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24 **ABSTRACT**

25 **Purpose:** The purpose of this study was to investigate whether knee braces could
26 effectively decrease tibial rotation during high demanding activities. **Methods:** Using
27 an in vivo three-dimensional kinematic analysis, 21 physically active, healthy, male
28 subjects were evaluated. Each subject performed two tasks that were used extensively
29 in the literature because they combine increased rotational and translational loads on
30 the knee, (1) descending from a stair and subsequent pivoting, and (2) landing from a
31 platform and subsequent pivoting under three conditions: (A) wearing a prophylactic
32 brace (braced), (B) wearing a patellofemoral brace (sleeved), and (C) unbraced
33 condition. **Results:** In the first task, tibial rotation during the pivoting phase was
34 significant decreased in the braced condition as compared to the sleeved condition
35 ($p=0.019$) and the non-braced condition ($p=0.002$). In the second task, the same
36 variable was significant decreased in the braced condition as compared to the sleeved
37 ($p=0.001$) and the unbraced condition ($p<0.001$). The sleeved condition also produced
38 significantly decreased tibial rotation with respect to the unbraced condition
39 ($p=0.021$). **Conclusions:** Bracing decreased tibial rotation in activities where
40 increased translational and rotational forces were applied. Because knee braces
41 decreased tibial rotation, they can possibly be used with ACL reconstructed and
42 deficient patients to prevent such problems.

43 **Key words:** Pivoting, knee joint stability, biomechanics, patellofemoral brace,
44 prophylactic brace

45 **Level of Evidence:** Level III, case control study

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48 INTRODUCTION

49 The main function of the ACL is not only to stabilize the tibia from anterior
50 translation relative to the femur, but also to limit excessive rotation of the tibia and to
51 protect against varus and valgus stresses [5,6,8,9,11]. Previous in vivo studies report
52 increased tibial rotation in ACL-deficient patients during walking [1,16]. ACL
53 reconstruction restores tibial rotation to normative levels during walking [16].
54 However, Ristanis et al demonstrated in vivo that excessive tibial rotation is still
55 present during higher loading activities and is not restored by anterior cruciate
56 ligament reconstruction with a single-bundle technique [33]. It has been suggested
57 that this excessive tibial rotation could degenerate soft tissues of the knee resulting in
58 further pathologies such as knee osteoarthritis [21]. Thus, excessive tibial rotation is a
59 problem that needs to be addressed in ACL-deficient but also in ACL reconstructed
60 individuals when they perform higher loading activities.

61 According to the American Academy of Orthopaedic Surgeons Committee on
62 Sports Medicine, knee braces are divided into four categories [15,24,43]: a)
63 Patellofemoral braces, which are designed to reduce anterior knee pain by obstructing
64 lateral displacement of the patella [2,27]; b) Prophylactic braces, which are designed
65 to prevent or reduce the severity of injuries by protecting primarily the Medial
66 Collateral Ligament and secondarily the ACL [34,36]; c) Functional braces, which are
67 designed to provide stability to unstable knees by limiting abnormal joint motion
68 [4,41]; d) Rehabilitative braces, which are designed to allow protected motion within
69 a controlled range of motion.

70 Braces may be effective in reducing anterior translation when subjected to static or
71 low anterior shear forces, but it is believed that braces fail to protect the knee in

72 situations where higher loads are encountered [6,9,11,14,15,39,42]. In low and
73 moderate activities such as running, Knutzen et al [22] and Theoret et al [37] found
74 that the use of a functional brace in ACL deficient subjects could reduce tibial
75 rotation. These results were also in accordance with an in-vitro study by Wojtys et al.
76 [42] where the restraints provided by fourteen functional knee braces in six cadaveric
77 limbs were assessed. It was found that most of the braces limited abnormal
78 tibiofemoral displacements during rotation. However, at higher physiological forces
79 the efficacy of braces is considered uncertain [9,11,15].

80 The purpose of this study was to investigate whether knee braces could effectively
81 decrease tibial rotation in high demanding activities. An in vivo 3D kinematic analysis
82 was performed in order to detect the effect of braces on tibial rotation, while
83 descending or landing and subsequent pivoting. These tasks were selected because
84 they have been used in the past to assess tibial rotation in ACL deficient and
85 reconstructed patients [40]. Based on the available literature [37,39,42] it was
86 hypothesized that there would be a decrease in the tibial rotation in braced knees as
87 compared to unbraced.

88 **MATERIAL AND METHODS**

89 The examined group consisted of 21 physically active, healthy, male subjects (age
90 28.2 ± 1.4 [range 22-34 years], mass 77.3 ± 6.2 [range 62-96 kg.], height 1.78 ± 0.3
91 [range 1.66-1.91 m]) who had not experienced a knee injury or had any
92 musculoskeletal or neurologic condition and had no prior experience of brace use. A
93 clinical evaluation and recording of the Tegner score was performed in all participants
94 by the same clinician. The score ranged from 7 to 9 which is considered normal. All
95 subjects agreed with the testing protocol and gave their consent for participation in

96 accordance with our University's Medical School Institutional Review Board
97 procedures.

98 **Instrumentation – Procedures**

99 Two types of braces were examined: a) the Prophylactic and b) the Patellofemoral
100 (Figure 1). The selection of these two was done because it is easier for an athlete to
101 use such a brace (prophylactic or patellofemoral) during an athletic event, instead of
102 the functional or the rehabilitative brace which are heavier and restrict athletic
103 performance considerably.

104 **INSERT FIGURE 1 ABOUT HERE**

105 An 8-camera optoelectronic system (Vicon, Oxford, UK) sampling at 100 Hz, was
106 used to capture the movements of 16 reflective markers placed on selected bony
107 landmarks of the lower extremities and pelvis using the model described by Davis et
108 al [12]. The subjects performed two different tasks: (1) descending from a stair and
109 subsequent pivoting, and (2) landing from a platform and subsequent pivoting. Such
110 tasks placed combined rotational and translational loads on the knee [13,26]. These
111 high demanding tasks were executed under three conditions: (A) Wearing a
112 prophylactic brace (braced condition), (B) wearing a patellofemoral brace (sleeved
113 condition) and (C) unbraced condition. The height of the platform used for landing
114 was 40 cm and it was designed according to James et al [20]. The stairway was
115 constructed according to Andriacchi et al [1]. All subjects were given 10 minutes to
116 warm up and to familiarize themselves with the tasks to be performed.

117 During the first activity, each subject descended the stairway at their own pace.
118 The descending period was concluded upon initial foot contact with the ground. After
119 foot contact, the subject was instructed to pivot (externally rotate) on the landing

120 (ipsilateral) leg at 90° and walk away. While pivoting, the contralateral leg was
121 swinging around the body (as it is coming down from the stairway) and the trunk was
122 oriented perpendicular to the stairway. During the second task, the subjects folded
123 their arms across their chest and then jumped from the platform and landed with both
124 feet on the ground. After foot contact, the subject was instructed to pivot (externally
125 rotate) on the right or left (ipsilateral) leg at 90° and walk away. The pivoting period
126 was identified from initial foot contact with the ground of the ipsilateral leg, until
127 touchdown of the contralateral leg [17,31]. Each participant performed six trials for
128 each condition for both legs. The order of the conditions was randomized.

129 Additionally, to validate the procedures and minimize errors reported in the
130 literature [25,30] regarding video capture of external skin markers, an additional trial
131 was recorded for the three examined conditions, with the subject in the anatomic
132 position (with their feet parallel and 15cm apart). This calibration procedure allowed
133 for correction of subtle misalignment of the markers that define the local coordinate
134 system and provided a definition of zero degrees for all segmental movements in all
135 planes [32,33].

136 Concerning the placement of the braced knee marker, a small cutout (1 cm x 1 cm)
137 on the lateral side of the patellofemoral brace allowed the lateral femoral epicondyle
138 marker to be placed directly on the skin during the sleeved trials. We believe that this
139 small confined cutout did not alter the properties of the brace. Glutinus tape was used
140 to stabilize the knee marker on the skin. The metal strap on the lateral side of the
141 prophylactic brace could also obstruct the knee marker installation. Therefore, a knee
142 marker, where the distance between the basis and the apex of the knee marker was 23
143 mm, was reconstructed. Through a small cutout (0.8 cm x 0.8cm), the knee marker
144 was attached on the lateral femoral epicondyle.

145 **Data Analysis and Reduction**

146 Anthropometric measurements were combined with 3D marker data from the
147 anatomic position trial to provide positions of the joint centers and to define anatomic
148 axis of joint rotations [12]. Calculation of knee rotations was based on Grood et al
149 [18]. The range of motion (ROM) during the pivoting period was used as dependent
150 variable, which eliminated possible errors reported in the literature [35] where
151 absolute measures (i.e. maximum or minimum) were used.

152 **Statistical Analysis**

153 Paired sample T-tests revealed no significant differences between the dominant
154 and the non-dominant leg concerning both the descending and the landing tasks for
155 our dependent variable ($t=-1.361$, $p=0.189$ and $t=-0.854$, $p=0.403$, respectively). So
156 the dominant leg was used for further analysis. Subsequently, one way repeated
157 measures ANOVA test was used to assess significant differences among the braced
158 (wearing a prophylactic brace), the sleeved (wearing a patellofemoral brace) and the
159 unbraced conditions. Post-hoc tests with the Bonferroni adjustment were applied to
160 obtain p-values. The level of significance was set at 0.05. All statistical analyses were
161 performed using SPSS Version 17, statistical software (SPSS, Chicago, IL).

162 **RESULTS**

163 Typical curves of tibial rotation during the pivoting period of a subject performing
164 the two investigated tasks for the three conditions are shown in figures 2 and 3. The
165 calculated range of movement that was used as the dependent variable is also
166 identified, along with time events for all examined conditions. The intra-subject
167 variability was in acceptable levels for all subjects with a maximum standard
168 deviation throughout the movement being less than 4 degrees.

169 **INSERT FIGURES 2 AND 3 ABOUT HERE**

170 Means and standard deviations for the two tasks (descending and pivoting, and
171 landing and pivoting) are presented for the three conditions in Table 1. In the task
172 descending and subsequent pivoting, the mean range of motion of the tibial rotation
173 was significantly different between the three conditions ($F=8.210$, $p=0.003$). The post-
174 hoc analysis revealed that it was significantly less in the braced condition as compared
175 to the sleeved ($p=0.019$) and to the unbraced condition ($p=0.002$). However, no
176 significant differences were found between the sleeved and the unbraced conditions
177 (n.s.) (Figure 4).

178 **INSERT TABLE 1 AND FIGURE 4 ABOUT HERE**

179 In the task landing and subsequent pivoting, the mean range of motion of the tibial
180 rotation was again significantly different between the three conditions ($F=19.131$,
181 $p<0.001$). The post-hoc analysis revealed that it was significant less in the braced
182 condition as compared to the sleeved ($p=0.001$) and to the unbraced condition
183 ($p<0.001$). Moreover, there were also significant differences between the sleeved and
184 the unbraced conditions. Specifically, the mean range of motion of the tibial rotation
185 was significantly less in the sleeved condition as compared to the unbraced condition
186 ($p=0.021$) (Figure 5).

187 **INSERT FIGURE 5 ABOUT HERE**

188 **DISCUSSION**

189 The most important finding of the present study was that bracing restricted tibial
190 rotation in high demanding activities. The efficacy of braces in reducing anterior
191 translation or rotation has been investigated only under static or low anterior shear

192 forces [6,9,11,14,15,31,39], but under higher physiological forces this efficacy was
193 under dispute. In the current study, the effect of knee braces on tibial rotation was
194 evaluated, in high demanding tasks such as (1) immediate pivoting after landing and
195 (2) immediate pivoting after descending stairs. During these two tasks anterior and
196 rotational loads are applied at the knee joint. It was hypothesized that there would be a
197 decrease in the tibial rotation in the braced knee as compared to the unbraced
198 condition.

199 It was found that the prophylactic brace restricted tibial rotation by nearly three
200 degrees during the task of pivoting after descending stairs, and by approximately five
201 degrees during the task of pivoting after landing, as compared to the non-braced
202 condition. Moreover, it was found that the patellofemoral brace decreased the ROM
203 of tibial rotation in the landing and subsequent pivoting task by two degrees as
204 compared to the unbraced case. In the descending and subsequent pivoting task the
205 difference was insignificant. The differences between the two tasks is due to the fact
206 that during the landing task the loads that are applied at the knee, are greater than
207 those of the descending task mostly due to the forward momentum. The results
208 supported the hypothesis and showed that the use of bracing limited internal rotation
209 during pivoting. Importantly, it can be hypothesized that if in healthy individuals
210 bracing can decrease tibial rotation under the tasks used, then it is possible that in
211 ACL deficient and reconstructed knees the usage of bracing may have the same effect.
212 Obviously the prophylactic brace would be the brace to choose.

213 It should be mentioned here, that Ristanis et al found that ACL deficient and
214 reconstructed with single bundle technique patients, presented nearly four degrees of
215 excessive tibial rotation as compared to controls during the same task as in the present
216 study, pivoting after descending stairs [32]. The same investigators also found that

217 ACL deficient and reconstructed patients exhibited six and five degrees respectively
218 of excessive tibial rotation as compared to controls, during the other task that was
219 used in the present study, pivoting after landing [33]. However, these in vivo studies
220 did not examine the effect of high demanding tasks on tibial rotation, in patients
221 reconstructed with a double bundle technique. This technique which is more sound
222 anatomically, can resist better the pivot shift phenomenon and rotational instability
223 than the single bundle technique [3,23,38]. However, it also comes with several
224 drawbacks such as increased operating time [19]. Possibly, knee bracing can alleviate
225 such problems by assisting the single bundle reconstructed patients in an area where
226 functional deficits still exist (i.e. tibial rotation). In the current study, it was found that
227 bracing can decrease tibial rotation by nearly 3 degrees during the task descending-
228 pivoting and by almost 5 degrees during the task landing-pivoting. This is very
229 important because practically bracing could potentially eliminate 75% of the
230 excessive tibial rotation for the first task and about 80 to 100% for the second task in
231 such patients.

232 A possible explanation for these results is that knee bracing may improve
233 neuromuscular control about the knee through proprioceptive mechanisms. Perla et
234 al [28] found that wearing an elastic bandage improved knee joint proprioception in
235 uninjured subjects by 25% and that this significant improvement was lost with the
236 removal of the elastic bandage. Potentially the bandage and similarly a brace,
237 influences afferent neural inputs to the central nervous system thus, mediating
238 hamstrings and quadriceps activity. Branch et al [7] reported reductions in EMG
239 activity due to bracing, for both quadriceps and hamstrings during the stance phase of
240 side step cutting. Decreases in hamstrings activity caused by bracing, were also
241 reported by Ramsey et al [29], during landing from a one-legged jump. On the other

242 hand, it is also possible that these results are purely due to the mechanical properties
243 of braces. This hypothesis could also be supported by the differences found in the
244 present study between the two bracing conditions. Cawley et al [8] investigated
245 biomechanically the capacity of eight different commercial knee braces and found that
246 most of them decreased both translation and rotation as compared to the unbraced
247 extremity under low physiological levels. Beynnon et al [5] demonstrated that
248 functional knee bracing protects the ACL by reducing the strain values for the knee in
249 both non-weight-bearing and weight-bearing conditions in anterior directed loading of
250 the tibia up to 140 N. In the present study, it is uncertain if the primary reason of the
251 reduction of tibial rotation was because the brace simply acted as a mechanical block
252 preventing abnormal motion or if it acted by providing sensory stimuli to avoid
253 certain stresses. Regardless the reason, the important result is that bracing can
254 decrease tibial rotation under pivoting tasks.

255 However, it should be mentioned that it is possible that continuous usage of
256 bracing could influence the muscle strength of the quadriceps femoris or the
257 hamstrings, developing atrophy in these muscles and leading to increased knee laxity.
258 However, this problem could be eliminated if muscular strength is closely monitored
259 in these individuals. The results of such testing will recommend or not additional
260 strength training to eliminate any atrophies if they occur.

261 General gait analysis limitations, particularly those related to the movement of skin
262 markers [10,30] and their ability to predict bone movements are to be considered as
263 confounding factors in the present study. The interoperator error was minimized by
264 having the same clinician place all the markers and acquire all the anthropometric
265 measurements. In addition, the absolute 3D marker reconstruction error of the system
266 was very low (maximum SD, 0.303 mm; calibration space, approximately $8m^3$). A

267 standing calibration procedure was used to correct for subtle misalignment of the
268 markers that define the local coordinate system and to provide a definition of 0° for
269 all segmental movements in all planes. Additionally, both the dominant and the non
270 dominant leg were examined to ensure the absence of differences in the dependent
271 variable. Moreover, it was speculated that because the same instrumentation was used
272 for all subjects, the level of measurement noise would be consistent for all subjects
273 and that any differences could be attributed to changes within the system itself.

274 Lastly and most importantly, if in healthy individuals bracing can decrease tibial
275 rotation under higher demanding tasks then it is possible that in ACL deficient and
276 reconstructed, bracing may have the same result decreasing the demonstrated
277 excessive tibial rotation and preventing further knee pathology in such patients.

278 **CONCLUSION**

279 In conclusion, it was found that bracing restricted tibial rotation in activities where
280 increased translational and rotational forces are applied. However, the patellofemoral
281 knee braces are not as effective as the prophylactic braces. Probably the improved
282 mechanical stiffness of the prophylactic braces compared to the structure of the
283 patellofemoral braces is the reason for this result. Future studies should examine if
284 bracing can have a similar effect in ACL deficient and reconstructed patients where it
285 has been found that excessive tibial rotation is a significant functional problem.

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405 **FIGURE LEGENDS**

406 **Figure 1**

407 The two types of braces that were used in the present study: a) the Prophylactic
408 (braced condition) and b) the Patellofemoral (sleeved condition).

409 **Figure 2**

410 A tibial rotation curve during the period under study for a full “stride” from a
411 representative healthy subject regarding the unbraced, sleeved and the braced
412 conditions in descending stairs. A stick figure describing the descending and
413 subsequent pivoting task, accompanies the diagram.

414 **Figure 3**

415 The landing and subsequent pivoting task with unbraced, sleeved and the braced
416 conditions. A stick figure describing the task also accompanies the diagram.

417 **Figure 4**

418 **Maximum ROM of tibial rotation**

419 Box-plots that demonstrate the mean and SD values for range of motion (ROM) of the
420 tibial rotation during the pivoting period of the task descending stairs and pivoting.
421 The asterisk (*) indicates statistical significant differences.

422 **Figure 5**

423 **Maximum ROM of tibial rotation**

424 Box-plots that demonstrate the means and standard deviations for range of motion
425 (ROM) of the tibial rotation during the pivoting period of the task landing and
426 pivoting. Significant differences are indicated with an asterisk (*).

427 **TABLE LEGENDS**

428 **Table 1**

429 Means and standard deviation (SD) values for range of motion of the tibial rotation
430 during the pivoting period for the two tasks investigated for the braced (wearing a

431 prophylactic brace), the sleeved (wearing a patellofemoral brace) and the unbraced
432 conditions.